

## PATENT COOPERATION TREATY

From the INTERNATIONAL BUREAU

**PCT**NOTIFICATION OF WITHDRAWAL  
OF PRIORITY CLAIM(PCT Rule 90bis.3 and  
Administrative Instructions, Section 415(a) and (b))

To:

PERSLEY, Sidney  
222 Bridge Plaza South  
Fort Lee, NJ 07024  
ETATS-UNIS D'AMERIQUE

Date of mailing (day/month/year) 29 March 2001 (29.03.01)	
Applicant's or agent's file reference C-463	<b>IMPORTANT NOTIFICATION</b>
International application No. PCT/US98/24300	International filing date (day/month/year) 14 November 1998 (14.11.98)
Applicant SUN CHEMICAL CORPORATION	

1. The applicant is hereby notified that the **priority claim made in the international application has been withdrawn** in accordance with a notice of withdrawal received from the applicant on:

24 August 2000 (24.08.00)

The attention of the applicant is drawn to the fact that the withdrawal of the priority claim will result in the re-calculation of time limits which have not already expired (see Rule 90bis.3(d)).

2. ☒ In the case where **multiple priorities** have been claimed, the above action relates to the following priority claim(s):

JP	06 April 1998 (06.04.98)	10/93215
JP	17 April 1998 (17.04.98)	10/107671
JP	26 March 1998 (26.03.98)	10/79679
JP	26 March 1998 (26.03.98)	10/79678

3. A copy of this notification has been sent to the receiving Office and to:

- ☐ the International Searching Authority (*where the international search report has not yet been issued*)
- ☒ the designated Offices (*which have already been notified of the receipt of the record copy*)
- ☐ the International Preliminary Examining Authority

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer  J. Leitao
Facsimile No. (41-22) 740.14.35	Telephone No. (41-22) 338.83.38

From the INTERNATIONAL SEARCHING AUTHORITY

**PCT**

To:  
PERSLEY, Sidney  
222 Bridge Plaza South  
Fort Lee, New Jersey 07024  
UNITED STATES OF AMERICA

NOTIFICATION OF TRANSMITTAL OF  
THE INTERNATIONAL SEARCH REPORT  
OR THE DECLARATION

(PCT Rule 44.1)

**RECEIVED**  
AUG 02 1999

**RECEIVED**  
AUG 03 1999 PATENT DEPT.

Date of mailing  
(day/month/year)

28/07/1999

Applicant's or agent's file reference

C-463

**FOR FURTHER ACTION**

See paragraphs 1 and 4 below

International application No.

PCT/US 98/24300

International filing date

(day/month/year)

14/11/1998

Applicant

SUN CHEMICAL CORPORATION et al.

1. ☒ The applicant is hereby notified that the International Search Report has been established and is transmitted herewith.

**Filing of amendments and statement under Article 19:**

The applicant is entitled, if he so wishes, to amend the claims of the International Application (see Rule 46):

**When?** The time limit for filing such amendments is normally 2 months from the date of transmittal of the International Search Report; however, for more details, see the notes on the accompanying sheet.

**Where?** Directly to the International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20, Switzerland  
Facsimile No.: (41-22) 740.14.35

**For more detailed instructions,** see the notes on the accompanying sheet.

2. ☐ The applicant is hereby notified that no International Search Report will be established and that the declaration under Article 17(2)(a) to that effect is transmitted herewith.

3. ☐ **With regard to the protest** against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that:

☐ the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to forward the texts of both the protest and the decision thereon to the designated Offices.

☐ no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made.

4. **Further action(s):** The applicant is reminded of the following:

Shortly after **18 months** from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in Rules 90bis.1 and 90bis.3, respectively, before the completion of the technical preparations for international publication.

Within **19 months** from the priority date, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later).

Within **20 months** from the priority date, the applicant must perform the prescribed acts for entry into the national phase before all designated Offices which have not been elected in the demand or in a later election within 19 months from the priority date or could not be elected because they are not bound by Chapter II.

Name and mailing address of the International Searching Authority



European Patent Office, P.B. 5818 Patentlaan 2  
NL-2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Véronique Baillou

These Notes are intended to give the basic instructions concerning the filing of amendments under article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

In these Notes, "Article", "Rule", and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions respectively.

## INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international publication. Furthermore, it should be emphasized that provisional protection is available in some States only.

### What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

### When?

Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

### Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been/is filed, see below.

### How?

Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

The amendments must be made in the language in which the international application is to be published.

### What documents must/may accompany the amendments?

#### Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged;
- (ii) the claim is cancelled;
- (iii) the claim is new;
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

The following examples illustrate the manner in which amendments must be explained in the accompanying letter:

1. [Where originally there were 48 claims and after amendment of some claims there are 51]:  
"Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers; claims 30, 33 and 36 unchanged; new claims 49 to 51 added."
2. [Where originally there were 15 claims and after amendment of all claims there are 11]:  
"Claims 1 to 15 replaced by amended claims 1 to 11."
3. [Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims]:  
"Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled; new claims 15, 16 and 17 added." or  
"Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged."
4. [Where various kinds of amendments are made]:  
"Claims 1-10 unchanged; claims 11 to 13, 18 and 19 cancelled; claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added."

**"Statement under article 19(1)" (Rule 46.4)**

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(1)).

The statement will be published with the international application and the amended claims.

**It must be in the language in which the international application is to be published.**

It must be brief, not exceeding 500 words if in English or if translated into English.

It should not be confused with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be identified as such by a heading, preferably by using the words "Statement under Article 19(1)."

It may not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

**Consequence if a demand for international preliminary examination has already been filed**

If, at the time of filing any amendments under Article 19, a demand for international preliminary examination has already been submitted, the applicant must preferably, at the same time of filing the amendments with the International Bureau, also file a copy of such amendments with the International Preliminary Examining Authority (see Rule 62.2(a), first sentence).

**Consequence with regard to translation of the international application for entry into the national phase**

The applicant's attention is drawn to the fact that, where upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to, the translation of the claims as filed.

For further details on the requirements of each designated/elected Office, see Volume II of the PCT Applicant's Guide.

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From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To: SIDNEY PERSLEY  
222 BRIDGE PLAZA SOUTH  
FORT LEE, NEW JERSEY 07024

## PCT

### NOTIFICATION OF TRANSMITTAL OF INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Rule 71.1)

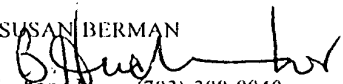
Applicant's or agent's file reference <b>C-463</b>		Date of Mailing (day/month/year) <b>18 AUG 2000</b>	
<b>IMPORTANT NOTIFICATION</b>			
International application No. <b>PCT/US98/24300</b>	International filing date (day/month/year) <b>13 NOVEMBER 1998</b>	Priority Date (day/month/year) <b>26 MARCH 1998</b>	
Applicant <b>SUN CHEMICAL CORPORATION</b>			

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.
4. **REMINDER**

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices)(Article 39(1))(see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231  Facsimile No. (703) 305-3230	Authorized officer <b>SUSAN BERMAN</b>  Telephone No. (703) 308-0040
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## PATENT COOPERATION TREATY

## PCT

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

REC'D 22 AUG 2000

WIPO

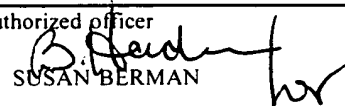
PCT

Applicant's or agent's file reference C-463	<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/US98/24300	International filing date (day/month/year) 13 NOVEMBER 1998	Priority date (day/month/year) 26 MARCH 1998
International Patent Classification (IPC) or national classification and IPC IPC(7): C08F 2/48, 22/40; C08L 67/00, 71/00 and US Cl.: 522/84, 85; 524/808, 803, 804.		
Applicant SUN CHEMICAL CORPORATION		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.
2. This REPORT consists of a total of 4 sheets.
- ☒ This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority. (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).
- These annexes consist of a total of 8 sheets.

## 3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☐ Non-establishment of report with regard to novelty, inventive step or industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☐ Certain defects in the international application
- VIII ☐ Certain observations on the international application

Date of submission of the demand  22 OCTOBER 1999	Date of completion of this report  26 JULY 2000
Name and mailing address of the IPEA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231	Authorized officer  SUSAN BERMAN
Facsimile No. (703) 305-3230	Telephone No. (703) 308-0040

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/US98/24300

## I. Basis of the report

## 1. With regard to the elements of the international application:\*

- ☐ the international application as originally filed
- ☒ the description:  
pages \_\_\_\_\_ (See Attached) \_\_\_\_\_, as originally filed  
pages \_\_\_\_\_, filed with the demand  
pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_
- ☒ the claims:  
pages \_\_\_\_\_ (See Attached) \_\_\_\_\_, as originally filed  
pages \_\_\_\_\_, as amended (together with any statement) under Article 19  
pages \_\_\_\_\_, filed with the demand  
pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_
- ☒ the drawings:  
pages \_\_\_\_\_ (See Attached) \_\_\_\_\_, as originally filed  
pages \_\_\_\_\_, filed with the demand  
pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_
- ☒ the sequence listing part of the description:  
pages \_\_\_\_\_ (See Attached) \_\_\_\_\_, as originally filed  
pages \_\_\_\_\_, filed with the demand  
pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_

## 2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language \_\_\_\_\_ which is:

- ☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3).

## 3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in printed form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. ☒ The amendments have resulted in the cancellation of:

- ☒ the description, pages \_\_\_\_\_ NONE \_\_\_\_\_
- ☒ the claims, Nos. \_\_\_\_\_ NONE \_\_\_\_\_
- ☒ the drawings, sheets/fig \_\_\_\_\_ NONE \_\_\_\_\_

5. ☐ This report has been drawn as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).\*\*

\* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17).

\*\*Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/US98/24300

**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement****1. statement**

Novelty (N)	Claims <u>4-19, 21, 23, 24, 26-28</u>	YES
	Claims <u>1-3, 20, 22, 25, 29</u>	NO
Inventive Step (IS)	Claims <u>4-19, 21, 23, 24, 26-28</u>	YES
	Claims <u>1-3, 20, 22, 25, 29</u>	NO
Industrial Applicability (IA)	Claims <u>1-29</u>	YES
	Claims <u>NONE</u>	NO

**2. citations and explanations (Rule 70.7)**

ClaimS 1-3, 20, 22 and 25 lack novelty under PCT Article 33(2) as being anticipated by McGINNISS. McGINNISS discloses photosensitive aqueous compositions for aqueous electrocoating which comprise a bis-maleimide crosslinking agent and ethylenically unsaturated compounds. The compositions are cured by ultraviolet radiation.

Claims 1, 20, 22 and 25 lack novelty under PCT Article 33(2) as being anticipated by WILSON, JR. et al. WILSON, JR. et al disclose water-compatible compositions comprising bis-maleimide crosslinking monomers.

Claim 29 lacks novelty under PCT Article 33(2) as being anticipated by WO 98/07759. WO '759 teaches polymerizable aliphatic maleimide compounds, including bis-maleimides corresponding to the instantly claimed formula. See formula (I) wherein "FG" is a functional group in combination with a spacer group linking the maleimide unit with at least one other maleimide unit. The groups taught as "FG" can be ester groups.

Claims 4-19, 21, 23, 24 and 26-28 meet the criteria set out in PCT Article 33(2)-(4), for the following reasons. The prior art does not teach or fairly suggest compositions comprising water, a maleimide derivative and a compound having at least one group selected from (meth)acryloyl or vinyl ether, with respect to claims 4-6. The prior art does not teach or fairly suggest compositions comprising water, a bis-maleimide derivative of the formula set forth in instant claim 7 and a different water-compatible compound.

----- NEW CITATIONS -----  
NONE



**Supplemental Box**

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of: Boxes I - VIII

Sheet 10

**I. BASIS OF REPORT:**

This report has been drawn on the basis of the description,  
page(s) 1-6,8-11,13-21,23-49,55, as originally filed.  
page(s) 7,12,22,50-54, filed with the demand.  
and additional amendments:  
NONE

This report has been drawn on the basis of the claims,  
page(s) 56-62, as originally filed.  
page(s) NONE, as amended under Article 19.  
page(s) NONE, filed with the demand.  
and additional amendments:  
NONE

This report has been drawn on the basis of the drawings,  
page(s) 1-2, as originally filed.  
page(s) NONE, filed with the demand.  
and additional amendments:  
NONE

This report has been drawn on the basis of the sequence listing part of the description:  
page(s) NONE, as originally filed.  
pages(s) NONE, filed with the demand.  
and additional amendments:  
NONE

5        When the average molecular weight of R, as a (poly) ether or  
(polyester linking chain is less than 100, curing properties of the  
maleimide thereof are worse. Even if the compositions are cured, the  
[gel fraction] of the energy cured composition tends to be lower.

10        The gel fraction is the percentage of material remaining after a  
cured film has been refluxed, for example, in methyl ethyl ketone for  
3 hours at 80°C, then dried at 100°C for one hour. A cured maleimide  
derivative or composition which has a 99.8% gel fraction indicates  
that only 0.2% of the matrix was solubilized by the above reflux  
conditions. (i.e. a high degree of conversion).

15        The percentage conversion is defined as the ratio of functional  
groups to a crosslinked matrix monitored by the disappearance of an IR  
absorption band during the course of 20 irradiation. This real time  
IR measurement allows one to quantify percent conversion and provides  
insight into the reactivity the composition during irradiation.

20        Brief of Description of the Drawings

Figures 1 and 2 show a plot of the percent conversion of  
maleimide to polymerized maleimide material over time as measured by  
real time infra red analysis .

25

As mentioned above, as the molecular weight of R<sub>2</sub>  
decreases, the curing properties of the maleimide became worse. Figure  
1 shows a plot of real time IR data for a bismaleimide derivative  
(structure shown) where R<sub>2</sub> is polytetramethylene glycol. As the  
30        molecular weight of the repeat unit (n) decreases (i.e. 4000 (curve  
1); 3000 (curve 2); 1000 (curve 3); 650 (curve 4); and 250 (curve 5))  
the conversion rate becomes lower. However, where the molecular  
weight of R<sub>2</sub> (curve 6) is less than 100, the real time IR data shows  
the rate of conversion to be sluggish. This supports employing  
35        maleimide

5 having polycarboxylic acid at a terminal end which is obtained by  
 esterification of polycarboxylic acids such as succinic acid, adipic  
 acid, phthalic acid, hexahydrophthalic acid, tetrahydrophthalic acid,  
 fumaric acid, isophthalic acid, itaconic acid, sebacic acid, maleic  
 acid, trimellitic acid,  
 10 pyromellitic acid, benzenepentacarboxylic acid, benzenehexacarboxylic  
 acid, citric acid, tetrahydrofuran tetracarboxylic acid,  
 cyclohexanetricarboxylic acid, and the like with (poly)ester(poly)ols  
 disclosed in the above, and the like. However, there are no  
 particular  
 15 limitations placed on these esters.

Linking chains obtained by ring-open reaction of polyepoxides  
 having an average molecular weight of 100 to 100,000, and comprising a  
 part in which at least one group selected from the group consisting of  
 a straight or branched chain C2 - C24 alkylene group; a C1-C14  
 20 alicyclic group; and a C<sub>6</sub>-C<sub>24</sub> aryl group; connected with an ether  
 linking chain, or a repeating unit comprising the parts, and the like.  
 However, there are no particular limitations placed on these linking  
 chains. Examples of (poly)epoxide forming the linking chain include  
 epichlorohydrin-modified bisphenol type epoxy resin synthesized by the  
 25 reaction of (methyl)epichlorohydrin with bisphenol A, bisphenol F,  
 modified ethylene oxide thereof, modified propylene oxide thereof;  
 epichlorohydrin-modified hydrogenated bisphenol type epoxy resin  
 synthesized by the reaction of (methyl)epichlorohydrin with  
 hydrogenated bisphenol A and hydrogenated bisphenol F, and by the  
 30 reaction of ethylene oxide-modified or propylene oxide modified  
 hydrogenated bisphenol A and bisphenol F; epoxy novolak resin;  
 compounds obtained from the reaction of phenol, bisphenol, and the  
 like with (methyl)epichlorohydrin; aromatic epoxy resin such as  
 glycidyl ester of terephthalic acid, isophthalic acid, pyromellitic  
 35 acid, and the like; polyglycidyl ethers synthesized from glycols such  
 as

5 It is possible to add a compound which is copolymerizable with  
the maleimide groups to be used together in the active energy curable  
composition containing maleimide derivatives according to the present  
invention. Practical examples of the compounds which are  
copolymerizable with the maleimide groups are, for example, compounds  
10 having various unsaturated double bonds. Such compounds may include,  
for example, maleimide derivatives which are not represented by the  
above Formula (1), (meth)acryloyl derivatives,  
(meth)acrylamide derivatives, vinyl ester derivatives, vinyl  
carboxylate derivatives, styrene derivatives, and unsaturated  
15 polyesters.

Examples of maleimide derivatives which are not represented by  
Formula (1) include, for example, but are not limited to:

monofunctional aliphatic maleimides such as N- methylmaleimide,  
N-ethylmaleimide, N-propylmaleimide, N-nbutylmaleimide, N-tert-  
20 butylmaleimide, N-pentylmaleimide, N-hexylmaleimide, N-laurylmaleimide,  
2-maleimideethyl-ethylcarbonate, 2-maleimideethyl-isopropyl-carbonate,  
and N-ethyl-(2-maleimideethyl)carbamate; monofunctional alicyclic  
maleimides such as N-cyclohexylmaleimide; aromatic monofunctional  
maleimides such as N-phenylmaleimide, N-2methylphenylmaleimide, N-2-  
25 ethylphenylmaleimide, N-(2, 6-diethylphenyl)maleimide, N-2-  
chlorophenylmaleimide, and N-(4-  
hydroxyphenyl)maleimide;

aliphatic bismaleimides such as N, N' methylenebismaleimide,  
N N'-ethylenebismaleimide, N, N' trimethylenebismaleimide, N N'-  
30 hexamethylenebismaleimide, N,  
N'-dodecamethylenebismaleimide, polypropylene glycol-bis(3-  
maleimidepropyl) ether, tetraethylene glycol-bis(3maleimidepropyl)  
ether, and bis(2-maleimideethyl)carbonate;

alicyclic bismaleimides such as 1,4-dimaleimide-  
35 cyclohexane and isophoronebisurethanebis(N-ethylmaleimide);

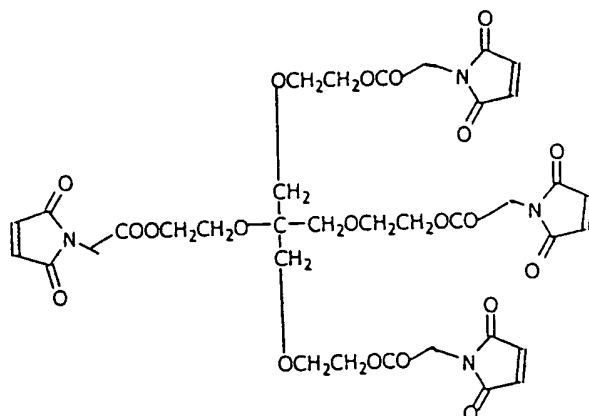
AMENDED SHEET

50

5 cm<sup>-1</sup>; 1719 cm<sup>-1</sup> (C=O) 831 cm<sup>-1</sup>; 696 cm<sup>-1</sup> (-C=C-); Elemental analysis (CHN): Calcd. C:46.5%; H:3.87%; N:9.03%; Found C:46.2%; H:4.05%; and N:8.70%.

Maleimidoacetic acid (6.8 g) pentaerythritol modified 10 by 4 moles of ethalene oxide (4.1 tradename PNT-40 Mn:490, Mw:530, available from  
10 Nippon Emulsifying Agent Co., Ltd., Japan) , p-toluenesulf onic acid (1.2 g) , 2, 6-tert-butyl-pcresol (0.06 g) , and toluene (15 ml) were added together and reacted at 80 OC for 4 hours under reduced pressure (240 15 torr). The mixture was stirred and the water formed during the reaction was removed. The reaction mixture was then  
15 dissolved in toluene (200 ml) and washed 3 times with a saturated sodium hydrogen carbonate aqueous solution (100 ml) and a saturated sodium chloride aqueous solution (100 ml).

The toluene was then removed under reduced pressure and a maleimide derivative (18 g) having the structure below was obtained.



### Example 3

An aliphatic epoxy acrylate resin (55 wt.%, Laromer 8765, available from BASF, Mt. Olive, NJ) was combined with water (8.5 wt.%). Next, a maleimide as prepared in Example 1

5 (36 wt. %) was added. A polyether siloxane additive (0.5 wt. %, Glide  
440, available from Tego Chemie, VA) was then added to produce  
sufficient flow properties. The curing, solvent resistance, gloss and  
surface hardness properties of the coating as described above were  
then evaluated. The results are shown in Table 1.

10

Example 4

(Comparative)

15 The maleimide prepared in Example 1 (84.5 wt. %) was to water  
(15 wt.%). A polyether siloxane additive (0.5 wt. %, Glide 440,  
available from Tego Chemie, VA) was then added to produce sufficient  
flow properties. The energy curing properties of the coating could  
not be evaluated because the water and maleimide were found to be  
incompatible and no film was produced.

20

Example 5

25 An aliphatic epoxy acrylate resin (58 wt.%, Laromer 8765,  
available from BASF, Mt. Olive, NJ) was combined with water (13.6  
wt.%), Next, a photoinitiator, 4-(2hydroxylethoxy)phenyl-(2-hydroxy-2-  
methylpropyl) ketone was added (3 wt. %, Irgacure 2959, available from  
Ciba-Geigy, NY). A polysiloxane additive (0.4 wt. %, DC57, available  
from Dow Chemical, Midland, MI) was then added to produce sufficient  
flow properties. Finally, the maleimide prepared in Example 1 (25 wt.  
%) was then added. The curing, solvent resistance, gloss and surface  
hardness properties of the coating described above were then  
evaluated. The results are shown in Table 1.

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Example 6

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An aliphatic epoxy acrylate resin (50 wt.%, Laromer 8765, available from BASF, Mt. Olive, NJ) was combined with water (17 wt.%). The maleimide prepared in Example 1 (17 wt. %, MIA250) was then added along with isopropyl alcohol (15.5 wt. %). A polyether siloxane additive (0.5 wt. %, Glide 440, available from Tego Chemie, VA) was then added to produce sufficient flow properties. The composition was irradiated at three different doses. The curing, solvent resistance, gloss and surface hardness properties of the coating for each dose as described above were then evaluated. The results are shown in Table 1.

Example 7

20

A water dilutable aliphatic urethane acrylic resin (25 wt.%, Ebecryl 2001, available from UCB Radcure, GA) was combined with water (49.5 wt.%). The maleimide prepared in Example 1 (25 wt. %, MIA250) was added along with a polyether siloxane additive (0.5 wt. %, Glide 440 available from Tego Chemie, VA) to produce sufficient flow properties. The composition was irradiated at two different doses. The curing, solvent resistance, gloss and surface hardness properties of the coating described above were then evaluated. The results are shown in Table 1.

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Example 8

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A highly alkoxyated trimethylolpropane triacrylate resin (61 wt.%, SR 9035, available from Sartomer, PA) was

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5 combined with water (24 wt.%). The maleimide prepared in Example 1  
(14.5 wt. %) was added. A polyether siloxane additive (0.5 wt. %, Glide 440, available from Tego Chemie, VA) was then added to produce sufficient flow properties. The composition was irradiated at two different doses. The curing, solvent resistance, gloss and surface  
10 hardness properties of the coating described above were then evaluated. The results are shown in Table 1.

Example 9

15 An aliphatic epoxy acrylate resin (57 wt.%, Laromer 8765, available from BASF, Mt. Olive, NJ) was combined with water (10.5 wt.%). A vinyl ether, hexanedioic acid, bis[4-ethenyloxy)butyl]ester (10.5 wt.%, VEX 4060, available from Allied Signal, NJ) was then added. A maleimide as prepared in Example 1 (21.5 wt. %) was then  
20 added along with a polysiloxane additive (0.5 wt. %, DC57, available from Dow Chemical, Midland, MI) to produce sufficient flow properties. The composition was irradiated at two different doses. The curing, solvent resistance, gloss and surface hardness properties of the coating described above were then evaluated. The  
25 results are shown in Table 1.

Example 10

(Comparative)

30

A vinyl ether, hexanedioic acid, bis[4-ethenyloxy) butyl]ester (67 wt.%, VEX 4060, available from Allied Signal, NJ) was added to water (11 wt.%). The maleimide prepared in Example 1 (21.5 wt. %),

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5 was added along with a polyether siloxane additive (0.5 wt. %, DC57, available from Dow Chemical, Midland, MI) to produce sufficient flow properties. The energy curing properties of the coating could not be evaluated because the water and maleimide were found to be incompatible and no film was formed.

10

#### Example 11

An aliphatic epoxy acrylate resin (72 wt.%, Laromer 8765, available from BASF, Mt. Olive, NJ) was combined with water (16 wt.%). The maleimide prepared in Example 2 (11.2 wt. %, MIA-PE4EO) was then added. A polyether siloxane additive (0.8 wt. %, Glide 440, available from Tego Chemie, VA) was then added to produce sufficient flow properties. The curing, solvent resistance, gloss and surface hardness properties of the coating described above were then  
20 evaluated. The results are shown in Table 1.

#### Example 12

(Comparative)

A maleimide prepared in Example 2 (84.5 wt. %, MIA-  
25 PE4EO) was added to water (15 wt.%). A polyether siloxane additive (0.5 wt. %, Glide 440, available from Tego Chemie, VA) was then added to produce sufficient flow properties. The energy curing properties of the coating could not be evaluated because the water and maleimide were found to be incompatible and no film was produced.

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# PATENT COOPERATION TREATY

# PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference <b>C-463</b>	<b>FOR FURTHER ACTION</b> <small>see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.</small>	
International application No. <b>PCT/US 98/ 24300</b>	International filing date (day/month/year) <b>14/11/1998</b>	(Earliest) Priority Date (day/month/year) <b>26/03/1998</b>
Applicant  <b>SUN CHEMICAL CORPORATION et al.</b>		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 3 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

**1. Basis of the report**

a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

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2. ☐ **Certain claims were found unsearchable** (See Box I).

3. ☐ **Unity of invention is lacking** (see Box II).

4. With regard to the **title**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established by this Authority to read as follows:

5. With regard to the **abstract**,

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☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is Figure No.

☐ as suggested by the applicant.

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☐ because this figure better characterizes the invention.

1 & 2

☐ None of the figures.

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 98/24300

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 C08F2/48 C08F22/40 C08L67/00 C08L71/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C08F C08L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 4 066 523 A (MCGINNISS VINCENT D) 3 January 1978 (1978-01-03) claims ---	1-3
X	US 5 034 279 A (WILSON JR THOMAS H ET AL) 23 July 1991 (1991-07-23) claims ---	1
X	WO 98 07759 A (JOENSSON E SONNY ;HOYLE CHARLES E (US); CLARK SHAN CHRISTOPHER (US) 26 February 1998 (1998-02-26) cited in the application the whole document --- -/--	29



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

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## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	CHARLES E. HOYLE ET AL.: "Photoinitiator free polymerization of maleimides and vinyl ethers" ACS SYMPOSIUM SERIES, vol. 673, 1997, pages 133-149, XP002077177 page 137 ---	7-28
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A	US 4 079 041 A (BAUMANN NIKLAUS ET AL) 14 March 1978 (1978-03-14) cited in the application column 1, line 56 - column 2, line 45 column 6, line 8 - column 8, line 14 ---	7-28
A	US 3 920 618 A (ICHIMURA KUNIHIRO ET AL) 18 November 1975 (1975-11-18) cited in the application ---	7-28
A	EP 0 618 237 A (FUSION SYSTEMS CORP) 5 October 1994 (1994-10-05) cited in the application the whole document & US 5 446 073 A ---	7-28
A	US 3 729 446 A (HOLUB F ET AL) 24 April 1973 (1973-04-24) ---	7
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Information on patent family members

International Application No

PCT/US 98/24300

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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification<sup>6</sup> :</b> <b>C08F 2/48, 22/40, C08L 67/00, 71/00</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 99/48928</b> <b>(43) International Publication Date:</b> 30 September 1999 (30.09.99)
<b>(21) International Application Number:</b> PCT/US98/4300 <b>(22) International Filing Date:</b> 14 November 1998 (14.11.98) <b>(30) Priority Data:</b> 10/79678 26 March 1998 (26.03.98) JP 10/79679 26 March 1998 (26.03.98) JP 10/93215 6 April 1998 (06.04.98) JP 10/107671 17 April 1998 (17.04.98) JP <b>(71) Applicants (for all designated States except US):</b> SUN CHEMICAL CORPORATION [US/US]; 222 Bridge Plaza South, Fort Lee, NJ 07024 (US). DAINIPPON INK & CHEMICALS [JP/JP]; 7-20, Nihonbashi 3-chome, Chuo-ku, Tokyo 103 (JP). <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> BIRO, David, An- thony [CA/US]; 6 Dakota Trail, Branchburg, NJ 08876 (US). LAKSIN, Mikhail [US/US]; 2278 Redwood Road, Scotch Plains, NJ 07076 (US). SAKURAI, Yoshinobu [JP/JP]; 1-28-1-A-212, Ohsakidai, Sakura-shi, Chiba-ken 285-8668 (JP). YONEHARA, Hisatomo [JP/JP]; 1-1-1, Sennari, Sakura-shi, Chiba-ken 285-8668 (JP). TAKA- HASHI, Katsuji [JP/JP]; 5-21-2, Someino, Sakura-shi, Chiba-ken 285-8668 (JP).		<b>(74) Agent:</b> PERSLEY, Sidney; 222 Bridge Plaza South, Fort Lee, NJ 07024 (US). <b>(81) Designated States:</b> AU, BR, CA, CN, JP, MX, US, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). <b>Published</b> With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.
<b>(54) Title:</b> WATER COMPATIBLE ENERGY CURABLE COMPOSITIONS CONTAINING MALEIMIDE DERIVATIVES		
<b>(57) Abstract</b> <p>Active water compatible energy curable compositions comprised of maleimide derivatives, water compatible resins and water which are capable of curing at a practical intensity and energy level and a method for curing same.</p> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> </div> <div style="width: 45%;"> </div> </div>		

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WATER COMPATIBLE ENERGY CURABLE COMPOSITIONS CONTAINING  
MALEIMIDE DERIVATIVES

BACKGROUND OF THE INVENTION

5    Field of the Invention

The present invention relates to active water compatible energy curable compositions containing a maleimide derivative, useful for preparing various coatings, printing inks, surface finishes, moldings, laminated plates, adhesives, and binders.

10   More specifically, the present invention relates to an active water compatible energy curable compositions which can be cured in the absence of a photoinitiator with a irradiation source of practical intensity and energy value.

15   Description of Related Art

An active energy curable composition polymerized under irradiation of active energy such as thermal energy, ultraviolet light, visible light, and the like, has an advantage of being rapidly cured. Active energy curable compositions are widely  
20   used as paints, inks, adhesives, coatings, and the like. However, conventional ultraviolet active energy curable compositions cannot initiate polymerization alone upon irradiation with an energy source; it is therefore necessary to use a photoinitiator. When photoinitiators are used in large  
25   quantities, curing progresses rapidly which encourages the use of large quantities of photoinitiator.

Photoinitiator compounds having an aromatic ring are used in general because they effectively absorb ultraviolet light. However, these compounds cause problems such as the yellowing of  
30   the cured materials upon addition of heat or light. Moreover, low molecular weight energy curable monomers and oligomers,



5 commonly used as photoinitiators because of their solubility a  
property necessary to initiate photopolymerization effectively,  
unfortunately have high vapor pressures. Therefore, they tend  
to give off unpleasant odors at temperatures ranging from room  
temperature to 150°C. Because infrared light, for example, is  
10 generated from an ultraviolet energy source, active energy  
curable compositions are heated substantially upon contact with  
such light sources. The heating problem is magnified when the  
ultraviolet light lamps are arranged and used in a side by side  
fashion. The unpleasant odors given off from the photoinitiator  
15 result in an unhealthy working environment.

Unreacted or decomposed photoinitiators remain behind in  
conventional energy curable compositions even after exposure to  
irradiation by the active energy cure source. These unreacted  
or decomposed photoinitiators cause problems such as changing  
20 the color of the cured film to yellow, unpleasant odors, and the  
like, when the cured film is exposed to heat or light. For  
example, when a material at high temperature, such as a thermal  
head, contacts an active energy curable composition comprising  
photoinitiator, strong unpleasant odors are given off. Finally,  
25 when these cured compositions are contacted by water after  
irradiation, unreacted photoinitiator is exuded; therefore  
causing the active energy curable composition to be unsuitable  
for food packaging applications.

In solving some of these problems, the prior art presents  
30 many options. For instance, JP-A-58-89609 discloses an energy  
curable resin comprising a polymer with polymerizable  
unsaturated acrylic group and an organic solvent-soluble styrene  
containing an acrylic thermoplastic resin that does not need a  
photoinitiator.

35 WO 89/05827 teaches photopolymerizable adhesive  
compositions comprising a copolymer of methacrylate monomer  
and/or methyl acrylate and a photopolymerizable monomer. These  
photocurable compositions, however, cannot be sufficiently

5 cross-linked by practical irradiation energy sources.

U.S. Patent 5,446,073 and *Polymer Preprints*, Vol. 37, No. 2, pp. 348-49, 1996 disclose a photopolymerizing method in which maleimide type materials are mixed with vinyl ethers and acrylates to produce a tough film. The polymerization mechanism  
10 involves a charge-transfer complex which is formed by an electron acceptor and an electron donor. However, many of the maleimides are solid and are hardly dissolved in acrylates.

*Polymer Letters*, Vol. 6, pp. 883-88, 1968 reports that maleimide derivatives can be polymerized in the absence of  
15 photoinitiators under irradiation by ultraviolet light. Japanese Patent Applications JP-A-61-250064, JP-A-62-64813, and JP-A-62-79243 teach active energy curable compositions comprising maleimide derivatives such as alkylmaleimides and arylmaleimides. However, these maleimide derivatives show low  
20 photoinitiator properties, therefore making it necessary to use substantial amounts of photoinitiator in the maleimide compositions.

U.S. Patent 3,920,618 and Japanese Patent Applications JP-A-50-123138 and JP-A-51-47940 disclose photopolymerizable  
25 polymers having an  $\alpha$ -aryl substituted maleimide group at a side chain. It is well known that these pendant type maleimides can be crosslinkable by ultraviolet irradiation (i.e. 2+2 photocycloaddition reaction). U.S. Patent 4,079,041 and Europe Patent 21019 teach polymers having side chain type maleimide  
30 groups with alkyl substituents. However, these pendant type maleimides cannot be used to form linear polymers by photopolymerization. Therefore, they are most commonly used to prepare negative printing plates. In addition, the photocross-linking dimerization reaction takes a rather long time (several  
35 tens seconds to several minutes) even with an excess amount of irradiation energy.

*Polymer Materials Science and Engineering*, Vol. 72, pp. 470-72, 1995 and *Proceedings of RadTech Europe 95*, pp. 34-56,

5 1995 disclose photocurable compositions comprising maleimide derivatives as electron acceptors and vinyl ethers as electron donors. The photopolymerizable compositions 1,4-bis(vinyloxymethyl)cyclohexane and N-cyclohexylmaleimide or 4-hydroxybutyl vinyl ether and N-(hydroxyalkyl)maleimide, 10 illustrated in these documents are polymerized upon ultra violet irradiation in the absence of a photoinitiator. However, hardening of the coated films does not occur; i.e. the coated films maintain liquid states after ultraviolet irradiation.

WO 98/07759 describes energy curable compositions wherein 15 water soluble maleimides are copolymerized with acrylates in the absence of water to produce a cured film.

The polymerizing methods described above share numerous problems, which can be summarized as the need for high irradiation intensity to cure sufficiently; the maleimide 20 derivatives being solid at ambient temperature which does not suggest whether they are or can be homo-polymerized upon irradiation in the absence of a photoinitiator; difficulty in obtaining cured coatings with practical properties and given the wide range of curable composition disclosed; the need for higher 25 irradiation energy than practical for cross-linking (photodimerization). However, none of these references describe active energy curable compositions containing water or energy curable compositions that are water compatible.

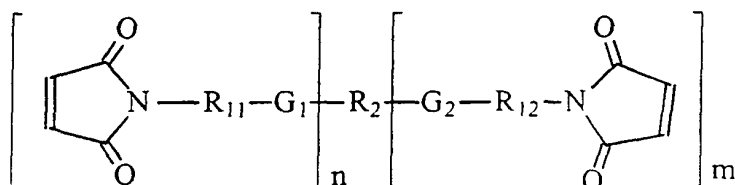
It is an object of the present invention to provide active 30 water compatible energy curable compositions which do not contain photoinitiator, cause unpleasant odors upon curing or cause yellowing, or exude materials from the cured film upon contact with water or solvent.

Another object of the present invention is to provide an 35 active water compatible energy curable composition which can be photopolymerized by an energy source of practical intensity and energy value and results in coatings that exhibit cure rates, gloss, hardness and solvent resistance values comparable to

5 those of conventional energy cure systems employing photoinitiators.

# SUMMARY OF THE INVENTION

The present invention is an active water curable energy curable composition comprising a water compatible compound, water and a maleimide derivative represented by the Formula (1):



wherein n and m each independently represent an integer of 1 to 5, and the sum of m and n is 6 or smaller;

10  $\text{R}_{11}$  and  $\text{R}_{12}$  each independently represent a linking group selected from the group consisting of a straight or branched chain alkylene group, an alicyclic group, an arylalkylene group, and a cycloalkylalkylene group. The arylalkylene group and the cycloalkyl alkylene group may have an aryl or cycloalkyl group as a main chain or a branched chain, respectively;

15  $\text{G}_1$  and  $\text{G}_2$  each independently represent an ester linkage represented by  $-\text{COO}-$  or  $-\text{OCO}-$  and;

$\text{R}_2$  represents a linking chain having an average molecular weight of 100 to 100,000 selected from the group consisting of (poly)ether and (poly)ester linking chains, in which at least one group consists of a group or groups selected from a straight or branched chain alkylene group, an alkylene group having a hydroxyl group, an alicyclic group, an aryl group, and an arylalkylene group; and connected via at least one linkage selected from the group consisting of an ether and an ester linkage.

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## DETAILED DESCRIPTION OF THE INVENTION

The active water curable energy curable compositions of the present invention contain a maleimide derivative of Formula 1 mentioned above. As for variables  $R_{11}$  and  $R_{12}$  of Formula 1, examples of  $R_{11}$  and  $R_{12}$  suitable for use in the present invention include straight alkylene groups such as methylene group, ethylene group, trimethylene group, tetramethylene group, pentamethylene group, hexamethylene group, heptamethylene group, octamethylene group, nonamethylene group, decamethylene group, undecamethylene group, dodecamethylene group, and the like; alkylene groups having a branched alkyl group such as 1-methylethylene group, 1-methyl-trimethylene group, 2-methyl-trimethylene group, 1-methyl-tetramethylene group, 2-methyl-tetramethylene group, 1-methyl-pentamethylene group, 2-methyl-pentamethylene group, 3-methyl-pentamethylene group, neopentyl group, and the like; alicyclic groups such as cyclopentylene group, cyclohexylene group, and the like; arylalkylene groups having an aryl group at a main chain or a side chain such as benzylene group, 2, 2-diphenyl-trimethylene group, 1-phenyl-ethylene group, 1-phenyl-tetraethylene group, 2-phenyl-tetraethylene group, and the like; cycloalkyl-alkylene group having an alicyclic group at a main chain or a side chain such as cyclohexyl-methylene group, 1-cyclohexyl-ethylene group, 1-cyclohexyl-tetraethylene group, 2-cyclohexyl-tetraethylene group, and the like. However, there are no particular limitations placed on these groups.

When the average molecular weight of  $R_2$  as a (poly)ether or (poly)ester linking chain is less than 100, curing properties of the maleimide thereof are worse. Even if the compositions are cured, the [gel fraction] of the energy cured composition tends to be lower.

The gel fraction is the percentage of material remaining after a cured film has been refluxed, for example, in methyl ethyl ketone for 3 hours at 80°C, then dried at 100°C for one

5 hour. A cured maleimide derivative or composition which has a 99.8% gel fraction indicates that only 0.2% of the matrix was solubilized by the above reflux conditions. (i.e. a high degree of conversion).

10 The percentage conversion is defined as the ratio of functional groups to a crosslinked matrix monitored by the disappearance of an IR absorption band during the course of irradiation. This real time IR measurement allows one to quantify percent conversion and provides insight into the reactivity the composition during irradiation.

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#### Brief of Description of the Drawings

Figures 1 and 2 show a plot of the percent conversion of maleimide to polymerized maleimide material over time as measured by real time infra red analysis .

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As mentioned above, as the molecular weight of  $R_2$  decreases, the curing properties of the maleimide became worse. Figure 1 shows a plot of real time IR data for a bismaleimide derivative (structure shown) where  $R_2$  is polytetramethylene glycol. As the molecular weight of the repeat unit (n) decreases (i.e. 4000 (curve 1); 3000 (curve 2); 100 (curve 3); 650 (curve 4); and 250 (curve 5)) the conversion rate becomes lower. However, where the molecular weight of  $R_2$  (curve 6) is less than 100, the real time IR data shows the rate of conversion to be sluggish. This supports employing maleimide derivatives wherein  $R_2$  (i.e. the poly(ether), poly(ester) linking chain) is greater than 100, since a lower values yield poorer conversion rates.

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Figure 2 shows a plot of real time IR data for a bismaleimide derivative (structure shown) where  $R_2$  is polyethylene glycol. As the molecular weight of the repeat unit (n) decreases (i.e. 1000 (curve 1); 600 (curve 2); 400 (curve 3); 300 (curve 4)) the conversion rate becomes lower. However,

35

5 where the molecular weight of  $R_2$  (curves 5 and 6) is less than 100, the real time IR data shows the rate of conversion to be sluggish.

Therefore, the results from Figures 1 and 2 suggest that the average molecular weight of  $R_2$  be more than 100. On the  
10 other hand, when the average molecular weight of  $R_2$  is more than 100,000, such as in the case of a polyol or a polyester, the raw material for the linking chains is solid in nature and shows poor solubility in common solvents at ambient temperature. Once obtained, these maleimide derivatives are virtually insoluble  
15 in common solvents, therefore, making it difficult to obtain a film and cure it. Even if a cured coating film is obtained, the surfaces of the coating shows unevenness. Therefore, it is not suitable that the average molecular weight of  $R_2$  be more than 100,000.  $R_2$  may also be a linkage comprising an oligomer or a  
20 polymer containing the above described (poly)ether and (poly)ester groups as repeating units. Examples of  $R_2$  suitable for use in the present invention include (poly)ether or a (poly)ester linking chains having an average molecular weight in a range of 100 to 100,000.

25 Linking chains represented by  $R_2$  include: a (poly)ether (poly)ol residue group; a (poly)ester (poly)ol residue group; a (poly)carboxylate {(poly)ether (poly)ol} ester having a polycarboxylic acid residue group at a terminal end; a (poly)carboxylate {(poly)ester (poly)ol} ester having a  
30 polycarboxylic acid residue group at a terminal end; and (poly)epoxide forming the linking chains.

Linking chains represented by a (poly)ether (poly)ol residue group have an average molecular weight of 100 to 100,000, and comprising a part in which at least one group  
35 selected from the group consisting of a straight or branched chain  $C_2$ - $C_{24}$  alkylene group; a  $C_3$ - $C_{24}$  alicyclic group; and a  $C_6$ - $C_{24}$  aryl group, connected with an ether linking chain or a repeating unit thereof. Examples of (poly)ether (poly)ol constructing

5 linking chain include polyalkylene glycols such as polyethylene glycol, polypropylene glycol, polybutylene glycol, polytetramethylene glycol, and the like; modified alkylene glycols in which ethylene glycol, propanediol, propylene glycol, tetramethylene glycol, pentamethylene glycol, hexanediol, 10 neopentyl glycol, glycerin, trimethylolpropane, pentaerythritol, diglycerin, ditrimethylolpropane, dipentaerythritol, and the like, are modified by ethylene oxides, propylene oxides, butylene oxides, and tetrahydrofuran. Among these (poly)ether (poly)ols, modified alkylene glycols are preferable. In 15 addition, examples of (poly)ether (poly)ol constructing the above linking chain include hydrocarbon polyols such as a copolymer of ethylene oxide and propylene oxide, a copolymer of propylene glycol and tetrahydrofuran, a copolymer of ethylene glycol and tetrahydrofuran, polyisoprene glycol, hydrogenated 20 polyisoprene glycol, polybutadiene glycol, hydrogenated polybutadiene glycol, and the like; polyhydric alcohol compounds such as polytetramethylene hexaglycerin ether (modified hexaglycerin by tetrahydrofuran), and the like. However, there are no particular limitations placed on these (poly)ether 25 (poly)ols.

Linking chains represented by a (poly)ester (poly)ol residue group have an average molecular weight of 100 to 100,000, and comprising a part in which at least one group selected from the group consisting of a straight or branched 30 chain  $C_2$ - $C_{24}$  alkylene group; a  $C_3$ - $C_{24}$  alicyclic group; and a  $C_6$ - $C_{24}$  aryl group; connected with an ester linking chain or a repeating unit thereof. Examples of (poly)ester (poly)ol constructing the linking chain include (poly)alkylene glycols such as polyethylene glycol, polypropylene glycol, polybutylene glycol, 35 polytetramethylene glycol, ethylene glycol, propane diol, propylene glycol, tetramethylene glycol, pentamethylene glycol, hexane diol, neopentyl glycol, glycerin, trimethylolpropane, pentaerythritol, diglycerin, ditrimethylolpropane,



5 dipentaerythritol, and the like which are modified by  $\epsilon$ -  
caprolactone,  $\gamma$ -butyrolactone,  $\delta$ -valerolactone, and  
methylvalerolactone; aliphatic polyester polyols which are  
synthesized by esterification of aliphatic dicarboxylic acids  
such as adipic acid, dimeric acid, and the like with polyols  
10 such as neopentyl glycol, methylpentanediol, and the like;  
aromatic polyester polyols which are synthesized by  
esterification of aromatic dicarboxylic acids such as  
terephthalic acid, and the like with polyols such as neopentyl  
glycol, and the like; ester compounds obtained by esterification  
15 of polyhydric alcohols such as polycarbonate polyol, acryl  
polyol, polytetramethylenehexaglyceryl ether (modified  
hexaglycerin by tetrahydrofuran), and the like with dicarboxylic  
acids such as fumaric acid, phthalic acid, isophthalic acid,  
itaconic acid, adipic acid, sebacic acid, maleic acid, and the  
20 like; compounds having polyol group such as monoglyceride  
obtained by transesterification of polyhydric alcohols such as  
glycerin with animal and plant fatty acid esters; and the like.  
However, there are no particular limitations placed on these  
(poly)ester(poly)ols.

25 Linking chains represented by a (poly)carboxylate  
{(poly)ether (poly)ol} ester having a polycarboxylic acid  
residue group at a terminal end, obtained by esterification of  
(poly)ether (poly)ol with  $C_2$ - $C_6$  carboxylic acid (the term of " $C_2$ -  
 $C_6$  carboxylic" is abbreviated as a polycarboxylic acid  
30 hereinafter), which have an average molecular weight of 100 to  
100,000, and comprising a part in which at least one group  
selected from the group consisting of a straight or branched  
chain  $C_2$ - $C_{24}$  alkylene group; a  $C_3$ - $C_{24}$  alicyclic group; and a  $C_6$ - $C_{24}$   
aryl group; connected with an ether linking chain or a repeating  
35 unit comprising the parts. Examples of (poly)carboxylate  
{(poly)ether (poly)ol} ester having polycarboxylic acid at a  
terminal, which forms the linking chain include

5 (poly)carboxylate {(poly)ether (poly)ol} esters having polycarboxylic acid at a terminal end which are obtained by esterification of polycarboxylic acids such as succinic acid, adipic acid, phthalic acid, hexahydrophthalic acid, tetrahydrophthalic acid, fumaric acid, isophthalic acid, itaconic acid, sebacic acid, maleic acid, trimellitic acid, pyromellitic acid, benzenepentacarboxylic acid, benzenhexacarboxylic acid, citric acid, tetrahydrofuran tetracarboxylic acid, cyclohexanetricarboxylic acid, and the like with (poly)ether(poly)ols disclosed in the above, and the like. However, there are no particular limitations placed on these esters.

Linking chains represented by a (poly)carboxylate {(poly)ester (poly)ol} ester having a polycarboxylic acid residue group at a terminal end obtained by esterification of (poly)ester (poly)ol and polycarboxylic acid which have an average molecular weight of 100 to 100,000, and comprising a part in which at least one group selected from the group consisting of a straight or branched chain  $C_2$ - $C_{24}$  alkylene group; a  $C_3$ - $C_{24}$  alicyclic group; and a  $C_6$ - $C_{24}$  aryl group; connected with an ether and an ester linking chains, or a repeating unit comprising the parts. Examples of (poly)carboxylate {(poly)ester (poly)ol} ester having polycarboxylic acid at a terminal, which forms the linking chain include (poly)carboxylate {(poly)ester (poly)ol} ester having polycarboxylic acid at a terminal end which is obtained by esterification of polycarboxylic acids such as succinic acid, adipic acid, phthalic acid, hexahydrophthalic acid, tetrahydrophthalic acid, fumaric acid, isophthalic acid, itaconic acid, sebacic acid, maleic acid, trimellitic acid, pyromellitic acid, benzenepentacarboxylic acid, benzenhexacarboxylic acid, citric acid, tetrahydrofuran tetracarboxylic acid, cyclohexanetricarboxylic acid, and the like with (poly)ester(poly)ols disclosed in the

5 above, and the like. However, there are no particular limitations placed on these esters.

Linking chains obtained by ring-open reaction of polyepoxides having an average molecular weight of 100 to 100,000, and comprising a part in which at least one group  
10 selected from the group consisting of a straight or branched chain  $C_2$ - $C_{24}$  alkylene group; a  $C_3$ - $C_{24}$  alicyclic group; and a  $C_6$ - $C_{24}$  aryl group; connected with an ether linking chain, or a repeating unit comprising the parts, and the like. However, there are no particular limitations placed on these linking  
15 chains. Examples of (poly)epoxide forming the linking chain include epichlorohydrin-modified bisphenol type epoxy resin synthesized by the reaction of (methyl)epichlorohydrin with bisphenol A, bisphenol F, modified ethylene oxide thereof, modified propylene oxide thereof; epichlorohydrin-modified  
20 hydrogenated hydrogenated bisphenol type epoxy resin synthesized by the reaction of (methyl)epichlorohydrin with hydrogenated bisphenol A and hydrogenated bisphenol F, and by the reaction of ethylene oxide-modified or propylene oxide-modified hydrogenated bisphenol A and bisphenol F; epoxy novolak resin; compounds  
25 obtained from the reaction of phenol, bisphenol, and the like with (methyl)epichlorohydrin; aromatic epoxy resin such as glycidyl ester of terephthalic acid, isophthalic acid, pyromellitic acid, and the like; polyglycidyl ethers synthesized from glycols such as (poly)ethylene glycol, (poly)propylene  
30 glycol, (poly)butylene glycol, (poly)tetramethylene glycol, neopentyl glycol, and from alkylene oxide-modified glycols thereof; polyglycidyl ethers synthesized from aliphatic polyhydric alcohols such as trimethylol propane, trimethylol ethane, glycerin, diglycerin, erythritol, pentaerythritol,  
35 sorbitol, 1,4-butane diol, 1,6-hexane diol, and the like, and from alkylene oxide-modified aliphatic polyhydric alcohols thereof; glycidyl esters synthesized from adipic acid, sebacic acid, maleic acid, itaconic acid, and the like; glycidyl ether

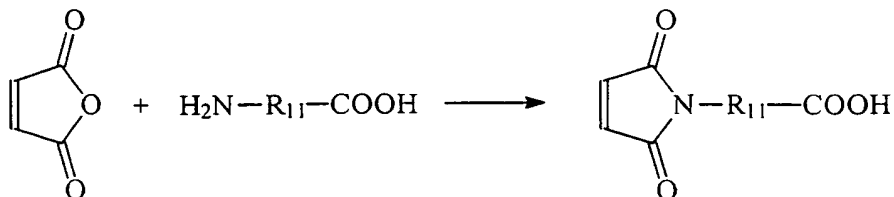
5 of polyester polyol synthesized from polyhydric alcohol with polycarboxylic acid; copolymers such as glycidyl (meth)acrylate and methylglycidyl(meth)acrylate; aliphatic epoxy resin such as glycidyl ester of higher fatty acid, epoxidized linseed oil, epoxidized soybean oil, epoxidized castor oil, epoxidized  
10 polybutadiene; and the like. However, there are no particular limitations placed on these (poly)epoxides.

Among the linking chains  $R_2$  represents, preferred are (poly)ether and (poly) ester linking chains having an average molecular weight of 100 to 100,000 and comprising a repeating  
15 unit containing a  $C_2$ - $C_{24}$  straight chain or branched alkylene, a  $C_2$ - $C_{24}$  alkylene group having a hydroxyl group, and/or a  $C_6$ - $C_{24}$  aryl group.

The maleimide derivatives represented by Formula (1) used for an active energy curable composition of the present  
20 invention can be synthesized by well known techniques from the reaction of, for example, a maleimide compound having a carboxyl group with a compound reactable with the carboxyl groups or from the reaction of a maleimide compound having a hydroxyl group with a compound having a carboxyl group.

25 A maleimide compound having a carboxyl group can be synthesized by well known techniques from the reaction of maleic anhydride with a primary amino carboxylic acid, represented by the following reaction formula. (for example, see D.H. Rich, et al., *Journal of Medical Chemistry*, Vol. 18, pp. 1004-10, 1975).

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Examples of a primary amino carboxylic acid suitable for use in such synthesis include asparagine, alanine,  $\beta$ -alanine, arginine, isoleucine, glycine, glutamine, tryptophan, threonine, valine, phenylalanine, homophenylalanine,  $\alpha$ -methyl-phenylalanine, lysine, leucine, cycloleucine, 3-aminopropionic acid,  $\alpha$ -aminobutyric acid, 4-aminobutyric acid, aminovaleric acid, 6-aminocaproic acid, 7-aminoheptanoic acid, 2-aminocaprylic acid, 3-aminocaprylic acid, 6-aminocaprylic acid, 8-aminocaprylic acid, 2-aminononanoic acid, 4-aminononanoic acid, 9-aminononanoic acid, 2-aminocapric acid, 9-aminocapric acid, 10-aminocapric acid, 2-aminoundecanoic acid, 10-aminoundecanoic acid, 11-aminoundecanoic acid, 2-aminolauric acid, 11-aminolauric acid, 12-aminolauric acid, 2-aminotridecanoic acid, 13-aminotridecanoic acid, 2-amino myristic acid, 14-amino myristic acid, 2-aminopentadecanoic acid, 15-aminopentadecanoic acid, 2-aminopalmitic acid, 16-aminopalmitic acid, 2-aminoheptadecanoic acid, 17-aminohexadecanoic acid, 2-aminostearic acid, 18-aminostearic acid, 2-aminoeicosanoic acid, 20-aminoeicosanoic acid, aminocyclohexanecarboxylic acid, aminomethylcyclohexanecarboxylic acid, 2-amino-3-propionic acid, 3-amino-3-phenylpropionic acid, and the like. However, there are no particular limitations placed on these primary amino carboxylic acids as virtually any primary amino carboxylic acid can be used. In addition, pyrrolidone, lactams such as  $\delta$ -valerolactam,  $\epsilon$ -caprolactam, and the like can also be used.

Examples of compounds reactive with the carboxyl groups include polyols or polyepoxides having 2 to 6 functional groups and an average molecular weight of 100 to 100,000 comprising a part or a repeating unit in which at least one linking group selected from the group consisting of a straight chain alkylene group, a branched alkylene group, an alicyclic group, and an

5 aryl group is linked with an ether bond and/or an ester bond.

There are no particular limitations placed on the reaction between maleimide compounds having a carboxyl group and polyols one of the compound reactive with the carboxyl groups.

Moreover, maleimide derivatives represented by Formula (1) can  
10 be synthesized in a well-known manner disclosed in *Organic Synthesis Collective Volume* (C.E. Rehberg, et. al., Vol. 3, pp. 46, 1955). It is preferable, however, that the reaction be carried out under ambient or reduced pressure, and a temperature ranging from room temperature to 150 °C, while dehydrating and  
15 using a catalyst. Examples of the catalyst include acid catalysts such as sulfuric acid, phosphoric acid, methanesulfonic acid, benzenesulfonic acid, p-toluenesulfonic acid, strong acidic cation-exchange resin, and the like. The amount of catalyst used should be within a range of 0.01 to 10  
20 wt. % based on the total weight of raw materials. Moreover, an azeotropic organic solvent with water is also used as a solvent in the reaction. Examples of the azeotropic organic solvent with water include toluene, benzene, butyl acetate, ethyl acetate, diisopropyl ether, dibutyl ether, and the like.

25 There are no particular limitations placed on the reaction of the maleimide compounds having a carboxyl group with polyepoxides which are one of the reactive compound with the carboxyl groups. In addition, maleimide derivatives represented by Formula (1) can be synthesized in a well-known manner  
30 disclosed in Japanese Patent Application JP-A-4-228529. It is preferable, however, that the reaction be carried out at a temperature in a range of room temperature to 150 °C, using a catalyst. Examples of the catalyst include imidazoles such as 2-methylimidazole and the like; quaternary ammonium salts such as  
35 tetramethyl ammonium chloride, trimethylbenzyl ammonium chloride, tetramethyl ammonium bromide, and the like; amines such as trimethylamine, triethylamine, benzylmethylaniline,

5 tributylamine, and the like; phosphines such as triphenylphosphine, tricyclohexylphosphine, and the like; laurates such as dibutyltin laurate, and the like; basic alkali metal salts such as potassium acetate, potassium tertiary phosphate, sodium acrylate, sodium methacrylate, and the like; 10 alkali alcoholates such as sodium methyllate, potassium ethyllate, and the like; anion-exchange resins; and the like. The amount of catalyst should be within a range of 10 to 10,000 ppm based on the total weight of raw materials.

Moreover, an organic solvent which does not comprise a 15 reactive hydrogen may also be used as a solvent in the reaction. Examples of an organic solvent which does not comprise a reactive hydrogen include aromatic hydrocarbons such as toluene, ethylbenzene, tetralin, cumene, xylene, and the like; ketones such as acetone, methyl ethyl ketone, methyl isobutyl ketone, 20 cyclohexanone, and the like; esters such as formate, methyl acetate, ethyl acetate, n-butyl acetate, and the like; and the like.

Examples of polyols used as a compound reactive with the carboxyl groups include, for example, polyalkylene glycols such 25 as polyethylene glycol, polypropylene glycol, polybutylene glycol, polytetramethylene glycol, and the like; modified alkylene glycols modified of alkylene glycols such as ethylene glycol, propanediol, propylene glycol, butanediol, butylene glycol, hexanediol, neopentyl glycol, glycerin, 30 trimethylolpropane, pentaerythritol, diglycerin, ditrimethylolpropane, dipentaerythritol, and the like by ethyleneoxide, propyleneoxide, butyleneoxide, tetrahydrofuran,  $\epsilon$ -caprolactone,  $\gamma$ -butyrolactone,  $\delta$ -valerolactone, and methylvalerolactone; aliphatic polyols such as a copolymer of 35 ethylene oxide with propylene oxide, a copolymer of propylene glycol with tetrahydrofuran, a copolymer of ethylene glycol with tetrahydrofuran, polyisoprene glycol, hydrogenated polyisoprene glycol, polybutadiene glycol, hydrogenated polybutadiene glycol,

5 and the like; aliphatic polyester polyols which are the  
esterification reaction products of aliphatic dicarboxylic acids  
such as adipic acid and dimeric acid with polyols such as  
neopentyl glycol and methylpentanediol, and the like; aromatic  
polyester polyols which are the esterification reaction products  
10 of aromatic dicarboxylic acids such as terephthalate with  
polyols such as neopentyl glycols; polycarbonate polyols;  
acrylpolyols; polyhydric alcohols such as  
polytetramethylenehexaglycerin ether (tetrahydrofuran-modified  
hexaglycerin); compounds containing monohydroxyl group or  
15 polyhydroxy groups, and having an ether group at terminal ends  
of the polyhydric alcohols described above; compounds containing  
polyhydroxyl group obtained by the esterification reaction of  
the above polyhydric alcohols with dicarboxylic acids such as  
fumaric acid, phthalic acid, isophthalic acid, itaconic acid,  
20 adipic acid, sebacic acid, maleic acid, and the like; compounds  
containing polyhydroxyl groups obtained by the  
transesterification reaction of compounds containing  
polyhydroxyl groups such as glycerin with ester of fatty acids  
of animals and plants. Any polyols may be used if they contain  
25 2 to 6 hydroxyl groups in the molecule.

Examples of polyepoxides used as the compound reactive  
with the carboxyl groups include, for example, bisphenol type  
epoxy resins modified by epichlorohydrin, which are synthesized  
by (methyl)epichlorohydrin with bisphenol A, and bisphenol F,  
30 and their modified compounds by ethyleneoxide, propyleneoxide,  
and the like; hydrogenated bisphenol type epoxy resins and epoxy  
Novolak® resins (Novolak is a Registered Trademark of Shell  
Company, Houston, TX) modified by epichlorohydrin which are  
synthesized by (methyl)epichlorohydrin with hydrogenated  
35 bisphenol A, hydrogenated bisphenol F, and their modified  
compounds by ethyleneoxide, propyleneoxides, and the like;  
reaction products of (methyl)epichlorohydrin with phenol and  
biphenol; aromatic epoxy resins such as glycidyl esters of

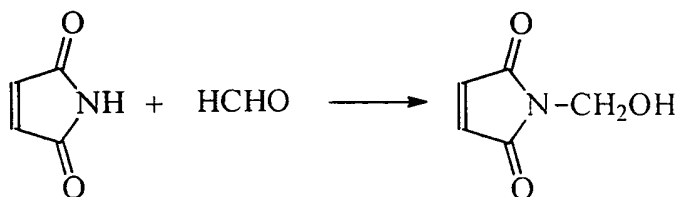


5 terephthalic acid, isophthalic acid, and pyrrolitic acid;  
polyglycidyl ethers of glycols such as (poly)ethylene glycol,  
(poly)propylene glycol, (poly)butylene glycol,  
(poly)tetramethylene glycol, and their alkyleneoxide-modified-  
products; glycidyl ethers modified of aliphatic polyhydric  
10 alcohols such as trimethylolpropane, trimethylolethane,  
glycerin, diglycerin, erythritol, pentaerythritol, sorbitol, 1,  
4-butanediol, 1, 6-hexanediol, and their alkyleneoxide-modified  
compounds; glycidyl esters of carboxylic acids such as adipic  
acid, sebacic acid, maleic acid, and itaconic acid; glycidyl  
15 ethers of polyester polyols prepared by polyhydric alcohols and  
polycarboxylic acids; copolymers of glycidyl(meth)acrylate and  
methylglycidyl(meth)acrylate; aliphatic epoxy resins such as  
glycidyl esters of higher fatty acids, epoxidized linseed oil,  
epoxidized soybean oil, epoxidized castor oil, and epoxidized  
20 polybutadiene.

The maleimide derivatives represented by Formula (1) used  
for an active energy curable composition of the present  
invention can also be synthesized by the reaction of a maleimide  
compound having a hydroxyl group with a compound having a  
25 carboxyl group.

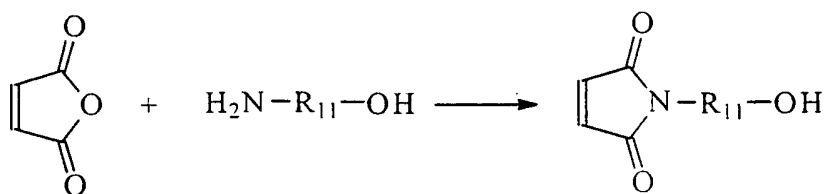
Moreover, a maleimide compound having a hydroxyl group can  
be synthesized by maleimide and formaldehyde, represented by the  
reaction:

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5 or by a well-known technique using maleic anhydride and a primary amino alcohol represented by the reaction:



(for a detailed synthesis example, see U.S. Patent No. 2526517 and Japanese Patent Application JP-A-2-268155).

Examples of a primary amino alcohol include 2-  
 10 aminoethanol, 1-amino-2-propanol, 3-amino-1-propanol, 2-amino-2-methyl-1-propanol, 2-amino-3-phenyl-1-propanol, 4-amino-1-butanol, 2-amino-1-butanol, 2-amino-3-methyl-1-butanol, 2-amino-4-methylthio-1-butanol, 2-amino-1-pentanol, 5-amino-1-pentanol, (1-aminocyclopentane)methanol, 6-amino-1-hexanol, 2-amino-1-  
 15 hexanol, 7-amino-1-heptanol, 2-(2-aminoethoxy)ethanol, N-(2-aminoethyl)ethanol amine, 4-amino-1-piperazine ethanol, 2-amino-1-phenylethanol, 2-amino-3-phenyl-1-propanol, 1-aminomethyl-1-cyclohexanol, aminotrimethylcyclohexanol, and the like.

However, there are no particular limitations placed on these  
 20 primary amino alcohols. Any primary amino alcohol can be used.

Examples of compounds reactive with the hydroxyl groups include polycarboxylic acid having ether bonds and/or ester bonds in one molecule, and an average molecular weight of 100 to 100,000, and comprising a part or a repeating unit in which at  
 25 least one linking group selected from the group consisting of a straight chain alkylene group, a branched alkylene group, an alicyclic group, and an aryl group; linked with an ether bond and/or an ester bond.

There are no particular limitations placed on the reaction  
 30 between the maleimide compounds having a hydroxyl group and the

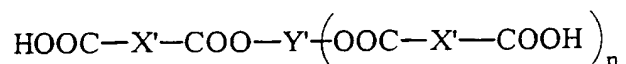
5 compounds having a carboxyl group. In addition, maleimide derivatives represented by Formula (1) can be synthesized in a well-known manner disclosed in *Organic Synthesis Collective Volume* (C.E. Rehberg, et al., Vol. 3, pp. 46, 1955). It is preferable, however, that the reaction be carried out under  
10 ambient or reduced pressure, at a temperature ranging from room temperature to 150 °C, while dehydrating and using a catalyst. Examples of the catalyst include acid catalysts such as sulfuric acid, phosphoric acid, methanesulfonic acid, benzenesulfonic acid, p-toluenesulfonic acid, strong acidic cation-exchange  
15 resin, and the like. The amount of catalyst should be within a range of 0.01 to 10 wt. % based on the total weight of raw materials.

In this case, as the solvent for the reaction, it is possible to use organic solvents which are azeotropic with  
20 water. Examples of such organic solvents are toluene, benzene, butyl acetate, ethyl acetate, diisopropyl ether, and dibutyl ether, and the like.

In any cases of the above reactions, it is preferable to use a radical polymerization inhibitor in order to suppress the  
25 radical polymerization of maleimide groups. The radical polymerization inhibitors include, for example, phenol derivatives such as hydroquinone, tert-butylhydroquinone, methoquinone, 2, 4-dimethyl-6-tert-butylphenol, catecol, tert-butylcatecol, and the like; amines such as phenothiazine, p-phenylenediamine, diphenylamine and the like; copper complexes  
30 such as copper-dimethyldithiocarbamate, copper-diethyldithiocarbamate, copper-dibutyldithiocarbamate, and the like. These inhibitors may be used alone or in combinations of two or more. It is preferable to select an amount of the  
35 inhibitors within a range of 10 to 10,000 ppm against total weight of raw materials.

Examples of polycarboxylic acids as the compounds, having ether bonds and ester bonds, include, for example, but are not

5 limited to, polycarboxylic acids obtained by esterification of  
dicarboxylic acids such as fumaric acid, phthalic acid,  
isophthalic acid, itaconic acid, adipic acid, sebacic acid,  
maleic acid, succinic acid, hexahydrophthalic acid,  
tetrahydrophthalic acid, pyromellitic acid, and dicarboxylic  
10 acid described above with polyols described above, and  
represented by formula:



wherein X' represents residual dicarboxyl groups, Y' represents residual polyol groups, and n is an integer from 1 to 5.

The maleimide derivatives represented by Formula (1) and used for the active energy curable composition of the present invention are obtained by aforementioned preparatory methods,  
15 but are not limited to, the methods described herein.

It is possible to add a compound which is copolymerizable with the maleimide groups to be used together in the active energy curable composition containing maleimide derivatives according to the present invention. Practical examples of the  
20 compounds which are copolymerizable with the maleimide groups are, for example, compounds having various unsaturated double bonds. Such compounds may include, for example, maleimide derivatives which are not represented by the above Formula (1), (meth)acryloyl derivatives, (meth)acrylamide derivatives, vinyl  
25 ester derivatives, vinyl carboxylate derivatives, styrene derivatives, and unsaturated polyesters.

Examples of maleimide derivatives which are not represented by Formula (1) include, for example, but are not limited to:

30 monofunctional aliphatic maleimides such as N-

- 5 methylmaleimide, N-ethylmaleimide, N-propylmaleimide, N-n-butylmaleimide, N-tert-butylmaleimide, N-pentylmaleimide, N-hexylmaleimide, N-laurylmaleimide, 2-maleimideethyl-ethyl-carbonate, 2-maleimideethyl-isopropyl-carbonate, and N-ethyl-(2-maleimideethyl)carbamate; monofunctional alicyclic
- 10 maleimides such as N-cyclohexylmaleimide; aromatic monofunctional maleimides such as N-phenylmaleimide, N-2-methylphenylmaleimide, N-2-ethylphenylmaleimide, N-(2, 6-diethylphenyl)maleimide, N-2-chlorophenylmaleimide, and N-(4-hydroxyphenyl)maleimide;
- 15 aliphatic bismaleimides such as N, N'-methylenebismaleimide, N-N'-ethylenebismaleimide, N, N'-trimethylenebismaleimide, N-N'-hexamethylenebismaleimide, N, N'-dodecamethylenebismaleimide, polypropylene glycol-bis(3-maleimidepropyl) ether, tetraethylene glycol-bis(3-
- 20 maleimidepropyl) ether, and bis(2-maleimideethyl)carbonate; alicyclic bismaleimides such as 1,4-dimaleimide-cyclohexane and isophoronebisurethanebis(N-ethylmaleimide); aromatic bismaleimides such as N,N'-(4, 4'-diphenyl-methane)bismaleimide, N,N'-(4,4'-diphenyloxy)bismaleimide, N,N'-p-
- 25 phenylenebismaleimide, N, N'-m-phenylenebismaleimide, N, N'-2, 4-tolylenebismaleimide, N, N'-2,6-tolylenebis-maleimide, N, N'-[4, 4'-bis(3, 5-dimethylphenyl)methane] bismaleimide, N,N'-[4,4'-bis(3,5-diethylphenyl)methane] bismaleimide;
- (poly)urethane(poly)maleimide derivatives obtained by
- 30 urethanation reactions of hydroxymaleimides with various (poly)isocyanates, such as a maleimide derivative obtained by a urethanation reaction of hydroxyethylmaleimide with triisocyanate produced by a reaction between 3 mole of isophoronediiisocyanate and 1 mole of propyleneoxide-modified-
- 35 glycerin;
- a maleimide derivative obtained by a urethanation reaction of hydroxymethylmaleimide with diisocyanate produced by a reaction between 2 mole of 2, 4-tolylendiisocyanate and 1 mole

5 of polytetramethyleneglycol; and

compounds having acryloyloxy groups or methacryloyloxy groups can be classified into, but are not limited to, groups of (poly)ester (meth)acrylate; urethane (meth)acrylate; epoxy (meth)acrylate; (poly)ether (meth)acrylate; alkyl (meth)acrylate or alkylene (meth)acrylate; (meth)acrylate having an aromatic ring and; (meth)acrylate having an alicyclic group.

Names in the above classifications are used as the general terms for respective compounds which can be used together in the active energy curable composition of the present invention. The (poly)ester (meth)acrylate generally designates (meth)acrylates having at least one ester bond in the main chain; urethane (meth)acrylate generally designates (meth)acrylates having at least one urethane bond in the main chain; the epoxyacrylate generally designates (meth)acrylates obtained by a reaction between (meth)acrylic acid and epoxide with one and more than one functional group; the (poly)ether (meth)acrylate generally designate (meth)acrylates having at least one ether bond in the main chain; the alkyl(meth)acrylate or alkylene(meth)acrylate generally designates (meth)acrylates comprising the main chain formed by a linear alkyl, a branched alkyl, a linear alkylene, or a branched alkylene, and side chains or terminal ends having halogen atoms and/or hydroxyl groups; (meth)acrylate having an aromatic ring generally designates (meth)acrylates having an aromatic ring at the main chain or the side chain; (meth)acrylate having an alicyclic group generally designates (meth)acrylates having, in the main chain or the side chain, alicyclic groups which may include oxygen atoms or nitrogen atoms as the structural unit.

Examples of the (poly)ester (meth)acrylates which can be used together in the active energy curable composition of the present invention include, for example, but are not limited to, monofunctional (poly)ester(meth)acrylates such as alicyclic-modified neopentylglycol(meth)arylate, caprolactone-modified 2-

5 hydroxyethyl(meth)acrylate, ethyleneoxide- and/or  
propyleneoxide- modified phthalate(meth)acrylate, ethyleneoxide-  
modified succinate(meth)acrylate, caprolactone-modified  
tetrahydrofurfuryl(meth)acrylate; pivalate-  
esterneopentylglycoldi(meth)acrylate, caprolactone-modified  
10 hydroxypivalateesterneopentylglucoldi(meth)acrylate,  
epichlorohydrine-modified phthalatedi(meth)acrylate; mono-, di-  
or tri-(meth)acrylates of triol obtained by addition of more  
than 1 mole of cyclic lactones such as  $\epsilon$ -caprolactone,  $\gamma$ -  
butyrolactone,  $\delta$ -valerolactone or methylvalerolactone to 1 mole  
15 of trimethylolpropane or glycerin; mono-, di-, tri-, or tetra-  
(meth)acrylates of triol obtained by addition of more than 1  
mole of cyclic lactones such as  $\epsilon$ -caprolactone,  $\gamma$ -butyrolactone,  
 $\delta$ -valerolactone or methylvalerolactone to 1 mole of  
pentaerythritol or ditrimethylolpropane; mono- or poly-  
20 (meth)acrylates of polyhydric alcohols such as triol, tetraol,  
pentaol, or hexaol, obtained by addition of more than 1 mole of  
cyclic lactones such as  $\epsilon$ -caprolactone,  $\gamma$ -butyrolactone,  $\delta$ -  
valerolactone or methylvalerolactone to 1 mole of  
dipentaerythritol; (meth)acrylates of polyester polyols composed  
25 of diol components such as (poly)ethylene glycol,  
(poly)propylene glycol, (poly)tertamethylene glycol,  
(poly)butylene glycol, (poly)pentanediol, (poly)methyl-  
pentanediol, and (poly)hexanediol, and polybasic acids such as  
maleic acid, fumaric acid, succinic acid, adipic acid, phthalic  
30 acid, hexahydrophthalic acid, tetrahydrophthalic acid, itaconic  
acid, citraconic acid, hettic acid, chlorendic acid, dimeric  
acid, alkenylsuccinic acid, sebacic acid, azelaic acid, 2, 2, 4-  
trimethyladipic acid, 1, 4-cyclo-hexanedicarboxylic acid,  
terephthalic acid, 2-sodium-sulfoterephthalic acid, 2-potassium  
35 sulfoterephthalic acid, isophthalic acid, 5-sodium  
sulfoisophthalic acid, 5-potassium sulfoisophthalic acid,  
orthophthalic acid, 4-sulfophthalic acid, 1, 10-

5 decamethylenedicarboxylic acid, muconic acid, oxalic acid,  
malonic acid, glutaric acid, trimellitic acid, pyromellitic  
acid; and polyfunctional (poly)ester (meth)acrylates composed of  
the above diol components, polybasic acids, and cyclic lactone-  
modified polyesterdiols such as  $\epsilon$ -caprolactone,  $\gamma$ -butyrolactone,  
10  $\delta$ -valerolactone or methylvalerolactone.

The urethane (meth)acrylate which can be used together in  
the active energy curable composition of the present invention  
is a general term representing (meth)acrylates obtained by a  
reaction between hydroxy compounds having at least one  
15 acryloyloxy group and isocyanate compounds. The urethane  
(meth)acrylate may also be selected from water dilutable  
aliphatic acrylate or aromatic urethanes.

Examples of hydroxy compounds having at least one  
acryloyloxy group include, for example, 2-  
20 hydroxyethyl (meth)acrylate, 2-hydroxypropyl (meth)acrylate, 2-  
hydroxybutyl (meth)acrylate, 3-hydroxybutyl (meth)acrylate, 4-  
hydroxybutyl (meth)acrylate,  
cyclohexanedimethanolmono (meth)acrylate, polyethylene  
glycol (meth)acrylate, polypropylene glycol (meth)acrylate,  
25 trimethylolpropanedi (meth)acrylate,  
trimethylolethaned i (meth)acrylate,  
pentaerythritoltri (meth)acrylate or an adduct of (meth)acrylate  
with glycidyl (meth)acrylate, (meth)acrylate compounds having  
hydroxyl groups such as 2-hydroxy-3-phenolpropyl (meth)acrylate,  
30 and ring-opening reaction products of the above acrylate  
compounds having hydroxyl groups with  $\epsilon$ -caprolactone.

Examples of isocyanate compounds include, for example,  
aromatic diisocyanates such as p-phenylenediisocyanate, m-  
phenylenediisocyanate, p-xylenediisocyanate, m-  
35 xylenediisocyanate, 2, 4-tolylenediisocyanate, 2, 6-  
tolylenediisocyanate, 4, 4'-diphenylmethanediisocyanate, 3, 3'-  
dimethyldiphenyl-4, 4'-diisocyanate, 3, 3'-diethyldiphenyl-4,



- 5 4'-diisocyanate, and naphthalenediisocyanate; aliphatic or alicyclic diisocyanates such as isophoronediiisocyanate, hexamethylenediisocyanate, 4, 4'-dicyclohexylmethanediisocyanate, hydrogenated xylenediisocyanate, norbornenediisocyanate, and
- 10 lysinediisocyanate; polyisocyanates such as buret products of more than one type of isocyanates and isocyanate-trimers of the above isocyanates; and polyisocyanates obtained by the esterification reaction of the above isocyanate with various polyols.
- 15 Examples of polyols used to produce polyisocyanates include, for example, (poly)alkylene glycols such as (poly)ethylene glycol, (poly)propylene glycol, (poly)butylene glycol, and (poly)tetramethylene glycol; alkyleneglycols modified by ethyleneoxide, propyleneoxide, butyleneoxide,
- 20 tetrahydrofuran,  $\epsilon$ -caprolactone,  $\gamma$ -butyrolactone,  $\delta$ -valerolactone or methylvalerolactone, such as ethylene glycol, propanediol, propylene glycol, tetramethylene glycol, pentamethylene glycol, hexanediol, neopentyl glycol, glycerin, trimethylolpropane, pentaerythritol, diglycerin,
- 25 ditrimethylolpropane, and dipentaerythritol; aliphatic polyols such as copolymers of ethyleneoxide and propyleneoxide, copolymers of propylene glycol and tetrahydrofuran, copolymers of ethylene glycol and tetrahydrofuran, polyisoprene glycol, hydrogenated polyisoprene glycol, polybutadiene glycol, and
- 30 hydrogenated polybutadiene glycol; aliphatic polyester polyols obtained by esterification reactions between aliphatic dicarboxylic acids such as adipic acid and dimeric acid with polyols such as neopentyl glycols and methylpentanediol; aromatic polyester polyols obtained by esterification reactions
- 35 between aromatic dicarboxylic acids such as terephthalic acid with polyols such as neopentyl glycol; polycarbonatepolyols; acrylpolyols; polyhydric alcohols such as polytetramethylenehexaglyceryl ether (hexaglycerin modified by

- 5 tetrahydrofuran); mono- or polyhydric compounds having of the above compounds having ether group at a terminal; polyhydric compounds obtained by esterification of the compounds having polyhydroxyl groups with dicarboxylic acids such as fumaric acid, phthalic acid, isophthalic acid, itaconic acid, adipic acid, sebacic acid, and maleic acid; compounds containing polyhydroxyl groups such as monoglyceride obtained by transesterification reactions of compounds having polyhydroxyl groups such as glycerin with esters of fatty acids of animals or plants.
- 10
- 15 Epoxy(meth)acrylates capable of being used together in the active energy curable composition of the present invention is a general term for (meth)acrylate obtained by a reaction of epoxides having more than one functional group and (meth)acrylic acids. Epoxides as the raw material of epoxy(meth)acrylate includes, for example, but are not limited to, epichlorhydrin-
- 20 modified-hydrogenated bisphenol-type epoxy resin, synthesized by (methyl)epichlorohydrin and compounds such as hydrogenated bisphenol A, hydrogenated bisphenol S, hydrogenated bisphenol F, and their modified compounds with ethylene oxide or propylene oxide; alicyclic epoxy resins such as 3, 4-
- 25 epoxycyclohexylmethyl-3, 4-epoxycyclohexane carboxylate, bis-(3, 4-epoxycyclohexyl) adipate; alicyclic epoxides such as epoxy resin containing heterocycles such as triglycidylisocyanurate; epichlorohydrine-modified bisphenol-type epoxy resins
- 30 synthesized by a reaction of (methyl)epichlorohydrin and a compound such as bisphenol A, bisphenol S, bisphenol F, and their modified compounds with ethylene oxide or propyleneoxide; phenol Novolak type epoxy resins; cresol Novolak type epoxy resins; epoxy resins of dicyclopentadiene-modified phenol resin
- 35 obtained by the reaction of dicyclopentadiene and various types of phenol resins; an aromatic epoxydized compounds of 2,2',6,6'-tetramethylbisphenol; aromatic epoxides such as phenylglycidyl ether; (poly)glycidyl ethers of glycol compounds such as

5 (poly)ethylene glycol, (poly)propylene glycol, (poly)butylene glycol, (poly)tetramethylene glycol, neopentyl glycol; (poly)glycidyl ether of glycols modified with alkylene oxide; (poly)glycidyl ethers of aliphatic polyhydric alcohols such as trimethylolpropane, trimethylolethane, glycerin, diglycerin, 10 erythritol, pentaerythritol, sorbitol, 1, 4-butanediol, 1, 6-hexanediol; alkylene type epoxides of (poly)glycidyl ether modified of aliphatic polyhydric alcohols by alkylene; glycidylesters of carboxylic acids such as adipic acid, sebacic acid, maleic acid, and itaconic acid; glycidyl ethers of 15 polyesterpolyols of polyhydric alcohols with polycarboxylic acids; a copolymer of glycidyl(meth)acrylate or methylglycidyl(meth)acrylate; glycidylester of higher fatty acids; aliphatic epoxy resins such as an epoxydized linseed oil, an epoxydized castor oil, and an epoxydized polybutadiene.

20 (Poly)ether (meth)acrylates capable of being used together in the active energy curable composition of the present invention include, for example, but are not limited to, aliphatic epoxy acrylates, monofunctional (poly)ether(meth)acrylates such as butoxyethyl(meth)acrylate, 25 butoxytriethylene glycol(meth)acrylate, epichlorohydrin-modified butyl(meth)acrylate, dicyclopentenylloxylethyl(meth)acrylate, 2-ethoxyethyl(meth)acrylate, ethylcarbitol(meth)acrylate, 2-methoxy(poly)ethylene glycol (meth)acrylate, methoxy(poly)propylene glycol (meth)acrylate, 30 nonylphenoxypolyethylene glycol (meth)acrylate, nonylphenoxypolypropylene glycol (meth)acrylate, phenoxyhydroxypropyl(meth)acrylate, phenoxy(poly)ethylene glycol (meth)acrylate, polyethylene glycol mono(meth)acrylate, polypropylene glycol mono(meth)acrylate, and polyethylene 35 glycol, polypropylene glycol mono(meth)acrylate; alkylene glycol di(meth)acrylates such as polyethylene glycol di(meth)acrylate, polypropylene glycol di(meth)acrylate, polybutylene glycol di(meth)acrylate, polytetramethylene glycol di(meth)acrylate;

5 polyfunctional (meth)acrylates induced by (meth)acrylic acid  
with aliphatic polyols such as a copolymer of ethylene oxide and  
propylene oxide, a copolymer of propylene glycol and  
tetrahydrofuran, a copolymer of ethylene glycol and  
tetrahydrofuran, polyisoprene glycol, hydrogenated polyisoprene  
10 glycol, polybutadieneglycol, hydrogenated polybutadiene glycol;  
polyfunctional (meth)acrylates induced by acrylic acid with  
polyhydric alcohols such as polytetramethylenehexaglyceryl ether  
(tetrahydrofuran-modified hexaglycerin); di(meth)acrylates of  
diol obtained by addition of equimolar or more than 1 mole of  
15 cyclic ethers such as ethylene oxide, propylene oxide, butylene  
oxide and/or tetrahydrofuran to 1 mole of neopentyl oxide;  
di(meth)acrylates of alkylene oxides-modified bisphenols such as  
bisphenol A, bisphenol F and bisphenol S; di(meth)acrylate of  
alkylene oxide-modified hydrogenated bisphenols such as  
20 hydrogenated bisphenol A, hydrogenated bisphenol F, hydrogenated  
bisphenol S; di(meth)acrylates of alkylene oxide-modified  
trisphenols; di(meth)acrylates of alkylene oxide-modified  
hydrogenated trisphenols; di(meth)acrylates of alkylene oxide-  
modified p, p'-bisphenols; di(meth)acrylates of alkylene oxide-  
25 modified hydrogenated bisphenols; di(meth)acrylates of alkylene  
oxide-modified p, p'-dihydroxybenzophenones; mono-, di-, and  
tri-(meth)acrylates of triols obtained by addition of equimolar  
or more than 1 mole of ethylene oxide, propylene oxide, butylene  
oxide, and/or cyclic ethers such as tetrahydrofuran to 1 mole of  
30 trimethylolpropane or glycerin; mono-, di-, tri- or tetra-  
(meth)acrylates obtained by addition of equimolar or more than 1  
mole of ethylene oxide, propylene oxide, butylene oxide, and/or  
cyclic ethers such as tetrahydrofuran to 1 mole of  
pentaerythritol, ditrimethylolpropane or highly alkoxyated  
35 trimethylolpropane triacrylate; monofunctional  
(poly)ether(meth)acrylates or polyfunctional  
(poly)ether(meth)acrylates of polyhydric alcohols such as triol,  
tetraol, pentaol, or hexaol of mono- or poly-(meth)acrylates

5 obtained by addition of equimolar or more than 1 mole of ethylene oxide, propylene oxide, butylene oxide, and/or cyclic ethers such as tetrahydrofuran to 1 mole of dipentaerythritol.

Alkyl(meth)acrylates or alkylene(meth)acrylates which can be used together in the active energy curable composition of the present invention include, for example, but are not limited to, monofunctional (meth)acrylates such as methyl(meth)acrylate, ethyl(meth)acrylate, propyl(meth)acrylate, isopropyl(meth)acrylate, butyl(meth)acrylate, isobutyl(meth)acrylate, pentyl(meth)acrylate, isopentyl(meth)acrylate, neopentyl(meth)acrylate, hexyl(meth)acrylate, heptyl(meth)acrylate, 2-ethylhexyl(meth)acrylate, octyl(meth)acrylate, isooctyl(meth)acrylate, nonyl(meth)acrylate, decyl(meth)acrylate, dodecyl(meth)acrylate, tridecyl(meth)acrylate, pentadecyl(meth)acrylate, miristyl(meth)acrylate, palmityl(meth)acrylate, stearyl(meth)acrylate, neryl(meth)acrylate, geranyl(meth)acrylate, farnecyl(meth)acrylate, hexadecyl(meth)acrylate, octadecyl(meth)acrylate, docosyl(meth)acrylate, and trans-2-hexene(meth)acrylate; di(meth)acrylates of aliphatic diols such as ethylene glycol di(meth)acrylate, propylene glycol di(meth)acrylate, 1, 2-butylene glycol di(meth)acrylate, 1, 3-butylene glycol di(meth)acrylate, 1, 4-butanediol di(meth)acrylate, 1, 6-hexanediol di(meth)acrylate, neopentyl glycol di(meth)acrylate, 2-methyl-1, 8-octanediol di(meth)acrylate, 1, 9-nonanediol di(meth)acrylate, and 1, 10-decanediol di(meth)acrylate; mono(meth)acrylates or poly(meth)acrylates of polyhydric alcohols such as trimethylolpropane, (hereinafter, the term "poly" is used as the general term of the poly-functionals including di, tri, tetra, and poly compounds such as mono(meth)acrylate, di(meth)acrylate, and tri(meth)acrylate of trimethylolpropane), and mono(meth)acrylates or poly(meth)acrylates of polyhydric alcohols such as triol, tetraol, and hexaol, for example, glycerin, pentaerythritol, ditri-

5 methylolpropane, and dipentaerythritol; (meth)acrylates having  
hydroxyl groups such as 2-hydroxyethyl(meth)acrylate, 2-  
hydroxypropyl(meth)acrylate, 4-hydroxybutyl(meth)acrylate, 3-  
chloro-2-hydroxyethyl(meth)acrylate; (meth)acrylates having  
bromine atoms such as 2, 3-dibromopropyl(meth)acrylate,  
10 tribromophenyl(meth)acrylate, ethylene oxide-modified  
tribromophenyl(meth)acrylate, ethylene oxide-modified  
tetrabromobisphenol A di(meth)acrylate; (meth)acrylates having  
fluorine atoms such as trifluoroethyl(meth)acrylate,  
pentafluoropropyl(meth)acrylate, tetrafluoropropyl(meth)  
15 acrylate, octafluoropentyl(meth)acrylate, dodecafluoroheptyl  
(meth)acrylate, hexadecafluorononyl(meth)acrylate,  
hexafluorobutyl(meth)acrylate, 3-perfluorobutyl-2-hydroxypropyl  
(meth)acrylate, 3-perfluorohexyl-2-  
hydroxypropyl(meth)acrylate, 3-perfluorooctyl-2-  
20 hydroxypropyl(meth)acrylate, 3-(perfluoro-5-methylhexyl)-2-  
hydroxypropyl(meth)acrylate, 3-(perfluoro-7-methyloctyl)-2-  
hydroxypropyl(meth)acrylate, and 3-(perfluoro-8-methyldecyl)-2-  
hydroxypropyl(meth)acrylate.

(Meth)acrylates having aromatic groups which can be used  
25 together in the active energy curable composition of the present  
invention include, for example, but are not limited to,  
monofunctional (meth)acrylates such as phenyl(meth)acrylate,  
benzylacrylate; and di(meth)acrylates such as bisphenol A  
diacrylate, bisphenol F diacrylate, bisphenol S diacrylate.

30 (Meth)acrylates having alicyclic groups which can be used  
together in the active energy curable composition of the present  
invention include, for example, but are not limited to,  
monofunctional (meth)acrylates having alicyclic structures such  
as cyclohexyl(meth)acrylate, cyclopentyl(meth)acrylate,  
35 cycloheptyl(meth)acrylate, bicycloheptyl(meth)acrylate,  
isobornyl(meth)acrylate, bicyclopentyl di(meth)acrylate,  
tricyclodecyl(meth)acrylate, bicyclopentenyl(meth)acrylate,  
norbornyl(meth)acrylate, bicyclooctyl(meth)acrylate,

5 tricycloheptyl(meth)acrylate, and cholesterol skeleton-  
substituted (meth)acrylate; di(meth)acrylates of hydrogenated  
bisphenols such as hydrogenated bisphenol A, hydrogenated  
bisphenol F, hydrogenated bisphenol S, di(meth)acrylates of  
hydrogenated trisphenols such as hydrogenated trisphenols, and  
10 di(meth)acrylates of hydrogenated p, p'-bisphenols;  
polyfunctional (meth)acrylates having cyclic structures such as  
dicyclopentane type di(meth)acrylate such as "Kayarad R684"  
(available from Nihon Kayaku Co., Japan), tricyclodecane  
dimethyloldi(meth)acrylate, bisphenolfluorene  
15 dihydroxy(meth)acrylate; and alicyclic acrylates having oxygen  
atoms and/or nitrogen atoms such as tetrahydrofurfuryl  
(meth)acrylate, and morpholinoethyl(meth)acrylate.

As compounds having acryloyl groups or methacryloyl groups  
which can be used together in the active energy curable  
20 composition of the present invention, it is possible to use,  
beside the above recited compounds, for example,  
poly(meth)acryl(meth)acrylates such as a reaction product of  
(meth)acrylic acid polymer and glycidyl(meth)acrylate, and a  
reaction product of glycidyl(meth)acrylate polymer and  
25 (meth)acrylic acid; (meth)acrylate having amino groups such as  
dimethylaminoethyl(meth)acrylate; isocyanul(meth)acrylates such  
as tris((meth)acryloxyethyl)isocyanurate;  
phosphagene(meth)acrylate such as  
hexakis[(meth)acryloyloxyethyl)cyclotriphosphagen];  
30 (meth)acrylate having the skelton of polysiloxane;  
polybutadiene(meth)acrylate; and melamine (meth)acrylate. Among  
these compounds having acryloyl or methacryloyl groups, it is  
preferable to use the compounds having 1 to 6 acryloyl or  
methacryloyl groups.

35 (Meth)acrylamide derivatives which can be used together in  
the active energy curable composition of the present invention  
include, for example, monofunctional (meth)  
acrylamides such as N-isopropyl(meth)acrylamide and

5 polyfunctional (meth)acrylamides such as methylenebis  
(meth)acrylamide.

Compounds having vinyl ether groups which can be used  
together in the active energy curable composition of the present  
invention can be classified into, but are not limited to, the  
10 following groups, in which: an alkyl vinyl ether having a  
terminal group substituted with at least one selected from the  
group consisting of a hydrogen atom, a halogen atom, a hydroxyl  
group, and an amino group; a cycloalkyl vinyl ether having a  
terminal group substituted with at least one selected from the  
15 group consisting of a hydrogen atom, a halogen atom, a hydroxyl  
group, and an amino group; at least one vinyl ether selected  
from the group consisting of a monovinyl ether, a divinyl ether,  
and a polyvinyl ether in which a vinyl ether group is connected  
with alkylene group; and in which a vinyl ether group is  
20 connected with at least one group with and without substituent  
selected from the group consisting of alkyl group, cycloalkyl  
group, and aromatic group, via at least one linkage selected  
from the group consisting of an ether linkage, an urethane  
linkage, and an ester linkage.

25 Alkylvinyl ethers which can be used together in the active  
energy curable composition includes, for example, but are not  
limited to, methyl vinyl ether, hydroxymethyl vinyl ether,  
chloromethyl vinyl ether, ethyl vinyl ether, 2-  
hydroxyethylvinylether, 2-chloroethylvinylether, diethyl  
30 aminoethyl vinyl ether, propyl vinyl ether, 3-hydroxypropyl  
vinyl ether, 2-hydroxypropyl vinyl ether, 3-chloropropyl vinyl  
ether, 3-aminopropyl vinyl ether, isopropyl vinyl ether, butyl  
vinyl ether, 4-hydroxybutyl vinyl ether, isobutyl vinyl ether,  
4-aminobutyl vinyl ether, pentyl vinyl ether, isopentyl vinyl  
35 ether, hexyl vinyl ether, 1, 6-hexanediol monovinyl ether,  
heptyl vinyl ether, 2-ethylhexyl vinyl ether, octyl vinyl ether,  
isooctyl vinyl ether, nonyl vinyl ether, isononyl vinyl ether,  
decyl vinyl ether, isodecyl vinyl ether, dodecyl vinyl ether,



5 isododecyl vinyl ether, tridecyl vinyl ether, isotridecyl vinyl ether, pentadecyl vinyl ether, isopentadecyl vinyl ether, hexadecyl vinyl ether, octadecyl vinyl ether, methylene glycol divinyl ether, ethylene glycol divinyl ether, propylene glycol divinyl ether, 1, 4-butanediol divinyl ether, 1, 6-hexanediol  
10 divinyl ether, cyclohexanediol divinyl ether, trimethylolpropane trivinyl ether, pentaerythritol tetravinyl ether and hexanedioic acid, bis{4-ethenyloxy}butyl ester.

Cycloalkyl vinyl ethers which can be used together in the active energy curable composition of the present invention  
15 includes, for example, but are not limited to, cyclopropyl vinyl ether, 2-hydroxycyclopropyl vinyl ether, 2-chloro-cyclopropyl vinyl ether, cyclopropylmethyl vinyl ether, cyclobutyl vinyl ether, 3-hydroxycyclobutyl vinyl ether, 3-chlorocyclobutyl vinyl ether, cyclobutylmethyl vinyl ether,  
20 cyclopentyl vinyl ether, 3-hydroxycyclopentyl vinyl ether, 3-chlorocyclopentyl vinyl ether, cyclopentylmethyl vinyl ether, cyclohexyl vinyl ether, 4-hydroxycyclohexyl vinyl ether, cyclohexylmethyl vinyl ether, 4-aminocyclohexyl vinyl ether, cyclohexanediol monovinyl ether, cyclohexanedimethanol monovinyl  
25 ether, and cyclohexanedimethanol divinyl ether.

Among compounds which may be used together in the active energy curable composition of the present invention including monovinyl ethers, divinyl ethers, and polyvinyl ethers, in which the vinyl ether linkage connects with an alkylene group, and at  
30 least one group selected from a group consisting of a  $C_2$ - $C_{24}$  alkyl group, a  $C_2$ - $C_{24}$  alicyclic group and a  $C_2$ - $C_{24}$  aromatic group which may have a substituents connects with a linkage selected from a linkage consisting of an ether linkage, an urethane linkage, and an ester linkage, examples of the compounds  
35 containing an ether linkage, for example, but are not limited to, ethylene glycol methyl vinyl ether, diethylene glycol monovinyl ether, diethylene glycol methylvinyl ether, diethylene glycol divinyl ether, triethylene glycol monovinyl ether,

5 triethylene glycol methylvinyl ether, triethylene glycol divinyl  
ether, polyethylene glycol monovinyl ether, polyethylene glycol  
methylvinyl ether, polyethylene glycol divinyl ether, propylene  
glycol methylvinyl ether, dipropylene glycol monovinyl ether,  
dipropylene glycol methylvinyl ether, dipropylene glycol divinyl  
10 ether, tripropylene glycol monovinyl ether, tripropylene glycol  
methylvinyl ether, tripropylene glycol divinyl ether,  
polypropylene glycol monovinyl ether, polypropylene glycol  
methylvinyl ether, polypropylene glycol divinyl ether,  
tetramethylene glycol methylvinyl ether, di(tetramethylene  
15 glycol) monovinyl ether, di(tetramethylene glycol)methyl vinyl  
ether, di(tetramethylene glycol) divinyl ether,  
tri(tetramethylene glycol) monovinyl ether, tri(tetramethylene  
glycol) methylvinyl ether, tri(tetramethylene glycol) divinyl  
ether, poly(tetramethylene glycol) monovinyl ether,  
20 poly(tetramethylene glycol) methylvinyl ether,  
poly(tetramethylene glycol) divinyl ether, 1, 6-hexanediolmethyl  
vinyl ether, di(hexamethylene glycol)monovinyl ether,  
di(hexamethylene glycol) methylvinyl ether, di(hexamethylene  
glycol) divinyl ether, tri(hexamethylene glycol) monovinyl  
25 ether, tri(hexamethylene glycol) methylvinyl ether,  
tri(hexamethylene glycol) divinyl ether, poly(hexamethylene  
glycol) monovinyl ether, poly(hexamethylene glycol) methylvinyl  
ether, poly(hexamethylene glycol) divinyl ether.

Among compounds classified in the above having vinyl ether  
30 linkages, the compounds having urethane linkages may be obtained  
by the urethanating reaction between a monovinyl ether of  
(poly)alkylene glycol having at least one hydroxyl group in one  
molecule and a compound having at least one isocyanate group in  
one molecule. Among these compounds, the monovinyl ether of  
35 (poly)alkylene glycol include at least one hydroxyl group in a  
molecule, for example, 2-hydroxyethyl vinyl ether, diethylene  
glycol monovinyl ether, polyethylene glycol monovinyl ether, 3-  
hydroxypropyl vinyl ether, 2-hydroxy-2-methylethyl vinyl ether,

5 dipropylene glycol monovinyl ether, polypropylene glycol monovinyl ether, 4-hydroxybutyl vinyl ether, and 1, 6-hexanediol monovinyl ether.

On the other hand, compounds having at least one isocyanate group in one molecule include, for example, aromatic  
10 diisocyanates such as m-isopropenyl- $\alpha$ ,  $\alpha$ -dimethylbenzylisocyanate, p-phenylenediisocyanate, m-phenylenediisocyanate, p-xylenediisocyanate, m-xylenediisocyanate, 2, 4-tolylenediisocyanate, 2, 6-tolylenediisocyanate, 4, 4'-diphenylmethanediisocyanate, 3, 3'-  
15 diethyldiphenyl-4, 4'-diisocyanate, 3, 3'-dimethyldiphenyl-4, 4'-diisocyanate, naphthalenediisocyanate; and aliphatic and alicyclic isocyanates such as propylisocyanate, isophoronediisocyanate, hexamethylenediisocyanate, 4, 4'-dicyclohexylmethanediisocyanate, hydrogenated xylenedi-  
20 isocyanate, norbornenediisocyanate, lysindiisocyanate.

It is also possible to use isocyanate compounds such as dimers or trimers comprising more than one of these isocyanate monomers, and to use adduct compounds obtained by urethanating reactions between isocyanate compounds containing more than 2  
25 isocyanate groups in one molecule and various alcohols.

Various alcohols can be used for obtaining adduct products, if the alcohol contains at least one hydroxyl group. Although there is no limitation, it is preferable to use an alcohol with an average molecular weight of less than 100,000.  
30 Examples of such alcohols include, for example, methanol, ethanol, propanol, isopropanol, butanol, isobutanol, ethylene glycol, 1, 3-propylene glycol, 1, 2-propylene glycol, diethylene glycol, dipropylene glycol, neopentyl glycol, 1, 3-butanediol, 1, 4-butanediol, 1, 6-hexanediol, 1, 9-nonanediol, 1, 10-decanediol, 2, 2', 4-trimethyl-1, 3-pentanediol, 3-methyl-1, 5-pentanediol, dichloroneopentyl glycol, dibromoneopentyl glycol, neopentylglycol hydroxypivalate, cyclohexanedimethylol, 1, 4-cyclohexanediol, spiro glycol, tricyclodecanedimethylol,  
35

5 hydrogenated bisphenol A, ethylene oxide-modified bisphenol A, propylene oxide-modified bisphenol A, dimethylol propionic acid, dimethylol butanoic acid, trimethylol ethane, trimethylolpropane, glycerin, 3-methyl-pentane-1, 3, 5-triol, tris(2-hydroxyethyl)isocyanurate. Polyester-polyols, polyether-  
10 polyols, polycarbonate-polyols may be used for obtaining adduct products. These alcohols can be used alone or in combinations of two or more.

Polyester-polyols obtained by reactions of the above polyol components and carboxylic acids may be used in preparing  
15 the adduct products. In regard to carboxylic acids, any conventional carboxylic acids or anhydrides thereof may be used. Examples of these carboxylic acids include, for example, maleic acid, fumaric acid, itaconic acid, citraconic acid, tetrahydrophthalic acid, hettic acid, chrolendick acid, dimeric  
20 acid, adipic acid, succinic acid, alkenylsuccinic acid, sebacic acid, azelaic acid, 2, 2, 4-trimethyladipic acid, 1, 4-cyclohexanedicarboxylic acid, terephthalic acid, 2-sodiumsulfoterephthalic acid, 2-potassiumsulfoterephthalic acid, isophthalic acid; 5-sodiumsulfoisophthalic acid, 5-  
25 potassiumsulfoisophthalic acid; di-lower-alkylesters of 5-sodium-sulfoisophthalic acid such as dimethyl- or diethylesters of 5-sodium-sulfoisophthalic acid; orthophthalic acid, 4-sulfophthalic acid, 1, 10-decamethylenecarboxylic acid, muconic acid, oxalic acid, malonic acid, glutaric acid, trimellitic  
30 acid, hexahydrophthalic acid, tetrabromophthalic acid, methylcyclohexenetricarboxylic acid or pyromellitic acid, anhydrides thereof and ester compounds of these acids with alcohols such as methanol and ethanol. It is also possible to use lactone-polyols obtained by the ring-opening reaction  
35 between  $\epsilon$ -caprolactam and the above described polyols.

In regard to polyether polyols, conventional polyether polyols can be used in obtaining adduct products. Examples of such polyether-polyols are, for example, but are not limited to,

5 ether glycols such as polytetramethylene glycol, propylene  
oxide-modified polytetramethylene glycol, ethylene oxide-  
modified polytetramethylene glycol, polypropylene glycol,  
polyethylene glycol, and polyether polyols obtained by ring-  
opening reactions of cyclic ethers by use of more than three  
10 functional polyols as an initiator.

Polycarbonate polyols used in adduct products are obtained  
by the transesterification reactions of carbonates and various  
polyols. Examples of carbonates are, for example, but are not  
limited to, diphenylcarbonate, bischlorophenylcarbonate,  
15 dinaphtylcarbonate, phenyl-tolyl-carbonate, phenyl-chlorophenyl-  
carbonate, and 2-tolyl-4-tolyl-carbonate; diaryl- or dialkyl-  
carbonates such as dimethylcarbonate and diethylcarbonate.  
Examples of polyols which can be used in the above reaction  
include the alcohols, polyols, polyester polyols, and polyether  
20 polyols described above.

Compounds having ester linkages classified in vinyl ether  
groups can be obtained by the esterification reaction of  
monovinyl ether of alkylene glycol having at least one hydroxyl  
group in a molecule with a compound having at least one  
25 carboxyl group in a molecule.

Examples of monovinyl ether of alkylene glycol having at  
least one hydroxyl group in a molecule are the same compounds as  
recited as components of the above compounds having urethane  
bonds.

30 It is possible to use well-known carboxylic acids and  
anhydride thereof for the compounds having at least one carboxyl  
group in a molecule. Examples of the compound having at least  
one carboxyl group in a molecule include, for example, but are  
not limited to, formic acid, acetic acid, propionic acid, valeic  
35 acid, benzoic acid, maleic acid, fumaric acid, itaconic acid,  
citraconic acid, tetrahydrophthalic acid, hettic acid,  
chlorendic acid, dimeric acid, adipic acid, succinic acid,  
alkenylsuccinic acid, sebacic acid, azelaic acid, 2, 2', 4-

5 trimethyladipic acid, 1, 4-cyclohexanedicarboxyl acid,  
terephthalic acid, 2-sodiumsulfoterephthalic acid, 2-  
potassiumsulfoterephthalic acid, isophthalic acid, 5-sodium-  
sulfoisophthalic acid, 5-potassiumsulfoisophthalic acid; di-  
lower-alkylesters of 5-sodium-sulfoisophthalic acid such as  
10 dimethyl- or diethyl-esters of 5-sodium-sulfoisophthalic acid,  
orthophthalic acid, 4-sulfophthalic acid, 1, 10-  
decamethylenedicarboxylic acid, muconic acid, oxalic acid,  
malonic acid, glutaric acid, trimellitic acid, hexahydrophthalic  
acid, tetrabromophthalic acid, methylcyclohexenetricarboxylic  
15 acid or pyromellitic acid, and anhydrides of these compounds.  
In addition, carboxyl acids obtained by reactions between  
compounds having more than two carboxylic groups and various  
alcohols, which are used as a component among compounds having  
urethane linkages, and which is used in obtaining adduct  
20 products of isocyanate.

Vinyl carboxylate derivatives which can be used together  
in the active energy curable compositions include, for example,  
vinyl acetate and vinyl cinnamate. Styrene derivatives include,  
for example, styrene and divinylstyrene.

25 Unsaturated polyesters which can be used together in the  
active energy curable composition include, for example, maleates  
such as dimethylmaleate and diethylmaleate; fumarates such as  
dimethylfumarate and diethylfumarate; and esterification  
products of unsaturated polycarboxylic acids such as maleic acid  
30 and fumaric acid and polyhydric alcohols.

Unlimited combinations of one or more of any compounds can  
be used, without being limited to the compounds described  
hereinbefore and those represented by general Formula (1) as  
curable compounds which can be used together in the active  
35 energy curable composition of the present invention. However,  
the compounds must be copolymerizable with the maleimide  
derivatives described herein.

The phrase "water compatible" is used herein to describe

5 compounds that are partially or substantially water dilutable, water soluble and/or capable of forming a water emulsion or dispersion with the energy curable compositions herein.

However, in the case where the energy curable compositions are used to formulate coatings, it is preferred that the particular  
10 water compatible compound be compatible with both the water and maleimide derivatives in order to avoid any phase separation or precipitation of one of more of the components. While not wishing to be bound by theory, the water compatible resin compounds used for coating applications work best if they possess  
15 functional groups which are compatible with water on one hand and functional groups which are compatible with the maleimide derivatives on the other.

Although there is no particular limitation in the ratio of maleimide derivatives represented by Formula (1) to those  
20 maleimide derivatives when both maleimide derivatives are used together in the active energy curable composition containing maleimide derivatives, it is preferable to select the ratio of maleimide derivative other than those represented by Formula (1) equal or less than 95% by weight and more preferably equal or  
25 less than 90% by weight.

Although there is no limitation in the ratio of a compound having acryloyloxy or methacryloyloxy groups to the maleimide derivatives represented by Formula (1), when used in the active energy curable composition of the present invention containing  
30 maleimide derivatives, it is preferable to use the compound having acryloyloxy or methacryloyloxy groups such that 100 parts by weight of the compounds having acryloyloxy or methacryloyloxy groups constitutes a ratio of equal or more than 5 parts by weight of maleimide derivatives represented by Formula (1), and,  
35 more preferably, the ratio of equal or more than 20 parts by weight from the point of view of the curing speed.

When a compound having vinyl ether groups is used together in the active energy curable composition containing maleimide

5 derivatives of the present invention, there is no limitation on  
the ratio to be incorporated in the composition. However, it is  
preferable to use the compound having vinyl ether groups such  
that 100 parts by weight of the compound having vinyl ether  
groups constitutes a ratio of equal or more than 5 parts by  
10 weight of maleimide derivatives represented by Formula (1), and  
the use of equimolar amount of a vinyl ether group to a  
maleimide group is more preferable from points of view of the  
curing speed and a cured film property.

The active energy curable compositions of the present  
15 invention have an intrinsic spectral sensitivity ranging from  
200 to 400 nm, and it is possible to polymerize same under a  
irradiation of ultraviolet or visible light within a range of  
180 to 500 nm, even without use of a photoinitiator. It was  
observed that lights having wavelengths at 254 nm, 308 nm, 313  
20 nm, and 365 nm are effective in curing of the active energy  
curable composition of the present invention. It is also  
possible to cure or polymerize the present active energy curable  
composition by light other than the ultraviolet light and by  
heat. In addition, it is possible to cure the present active  
25 energy curable composition in air and/or an inert gas. Various  
energy cure sources such as thermal, ultraviolet light, infrared  
and visible light may be used, for example, a low-pressure-  
mercury lamp, a high-pressure-mercury-lamp, an ultrahigh-  
pressure-mercury lamp, a metal halide lamp, a chemical lamp, a  
30 black-light lamp, a mercury-xenon lamp, an excimer lamp, a  
short-arc lamp, a helium-cadmium laser, an argon laser, an  
excimer laser, and sunlight.

Although the active energy curable compositions of the  
present invention can be cured under irradiation of ultraviolet  
35 light or visible light, in the absence of a photoinitiator,  
conventional photoinitiators may nonetheless be used for  
polymerization. The photoinitiators may be classified into two  
groups; one is an intramolecular-bond-cleavage type and the



5 other is an intramolecular-hydrogen-abstraction type.

Examples of the intramolecular-bond-cleavage type photoinitiators include, for example, acetophenones such as diethoxyacetophenone, 2-hydroxy-2-methyl-1-phenylpropane-1-one, benzyl dimethyl ketal, 1-(4-isopropylphenyl)-2-hydroxy-2-methylpropan-1-one, 4-(2-hydroxyethoxy)phenyl-(2-hydroxy-2-methylpropyl)ketone, 4-(2-hydroxyethoxy)phenyl-(2-hydroxy-2-propyl)ketone, 1-hydroxycyclohexyl-phenylketone, 2-methyl-2-morpholino(4-thiomethylphenyl)propan-1-one, and 2-benzyl-2-dimethylamino-1-(4-morpholinophenyl)-butanone; benzoin such as benzoin, benzoinmethyl ether, benzoinisopropyl ether; acylphosphine oxides such as 2, 4, 6-trimethylbenzoindiphenylphosphine oxides; benzyl and methylphenyl-glyoxyester.

Examples of intramolecular-hydrogen-abstraction type photoinitiators include, for example, benzophenones such as benzophenone, methyl-4-phenylbenzophenone o-benzoylbenzoate, 4, 4'-dichlorobenzophenone, hydroxybenzophenone, 4-benzoyl-4'-methyl-diphenylsulfide, acrylic-benzophenone, 3, 3', 4, 4'-tetra(t-butylperoxycarbonyl)benzophenone, 3, 3'-dimethyl-4-methoxybenzophenone; thioxanthenes such as 2-isopropylthioxanthone, 2, 4-dimethylthioxanthone, 2, 4-diethylthioxanthone, 2, 4-dichlorothioxanthone; aminobenzophenones such as Michler's ketone, 4, 4'-diethylaminobenzophenone; 10-butyl-2-chloroacridone, 2-ethylanthraquinone, 9, 10-phenanthrenequinone, and camphorquinone.

30 It is preferable to add the photoinitiator to the active energy curable composition within a range of 0.01 to 10.00% by weight.

Although the active energy curable compositions of the present invention can be cured by irradiation of ultraviolet, it is also possible to use a sensitizer for efficient curing.

35 Examples of such sensitizers are, for example, amines such as triethanolamine, methyldiethanolamine, triisopropanolamine, methyl 4-dimethylaminobenzoate, ethyl 4-dimethylaminobenzoate,

5 isoamyl 4-dimethylaminobenzoate, (2-dimethyl-amino)ethyl benzoate, (n-butoxy)ethyl 4-dimethylaminobenzoate, and 2-ethylhexyl 4-dimethylaminobenzoate. It is preferable to add the sensitizer to the active energy curable composition within a range of 0.01 to 10.00% by weight.

10 It is possible to further use together, if necessary, additives such as non-reactive-compounds, inorganic fillers, organic fillers, coupling reagents, adhesive reagents, antifoaming reagents, leveling reagents, plasticizers, antioxidants, ultraviolet-absorbers, flame retardants, pigments, 15 dyes, and paints.

Examples of the non-reactive compounds which are usable together in the active energy curable composition include, for example, but are not limited to, liquid or solid oligomers or resins with a low reactivity or non- reactivities such as, alkyl 20 (meth)acrylate copolymer, epoxy resins, liquid polybutadiene, liquid polybutadiene derivatives, liquid chloroprene, liquid polypentadiene, dichloropentadiene derivative, saturated polyester oligomer, polyether oligomer, acrylic oligomer, liquid polyamide, polyisocyanate oligomer, xylene resin, acrylic resin, 25 ketone resin, petroleum resin, rosin resin, fluorinate-type oligomer, silicone-type oligomer, polysulfide oligomers.

Inorganic and organic fillers are generally used for improving mechanical properties such as strength, cushioning and slipping properties.

30 Any conventional fillers may be used if the fillers are compatible with the water containing composition and do not harm the characteristics of the resin including curing. Inorganic fillers which may be used include, for example, but are not limited to, silicon dioxide, silicon oxide, calcium carbonate, 35 calcium silicate, magnesium carbonate, magnesium oxide, talc, kaoline clay, calcined clay, zinc oxide, zinc sulfate, aluminum hydroxide, aluminum oxide, glass, mica, barium sulfate, alumina white, zeolite, silica spherules, and glass spherules. It is

5 possible to add halogen groups, epoxy groups, hydroxyl groups,  
and thiol groups to these fillers by addition or by the reaction  
with various coupling reagents such as a silane coupling  
reagent, a titanate-type coupling reagent, an aluminum-type  
coupling reagent, a zirconate-type coupling reagent, and the  
10 like.

Conventional organic fillers which may be used include,  
for example, but are not limited to, a benzoguanamine resin, a  
silicone resin, a low-density polyethylene, a high-density  
polyethylene, a polyolefin resin, ethylene-acrylate copolymer,  
15 polystyrene, cross-linking polystyrene, polydivinylbenzene,  
styrene-divinylbenzene copolymer, acrylic copolymer, cross-  
linking acrylic resin, polymethylmethacrylate resin, vinylidene-  
chloride resin, fluororesin, nylon 12, nylon 11, nylon 6/66,  
phenolic resin, epoxy resin, urethane resin, and polyimide  
20 resin. It is possible to add halogen groups, epoxy groups,  
hydroxyl groups, and thiol groups to these organic fillers.

Examples of coupling reagents which can be used together  
in the active energy curable composition of the present  
invention include, for example, but are not limited to, silane  
25 coupling reagents such as  $\gamma$ -glycidoxypropyltrimethoxysilane, and  
 $\gamma$ -chloropropyltrimethoxysilane; titanate coupling reagents such  
as tetra(2, 2-diaryloxymethyl-1-butyl)bis(ditridecyl)  
phosphitetitanate, and bis(dioctylpyrophosphate)  
ethylenetitanate; aluminum coupling reagents such as  
30 acetoalkoxyaluminumdiisopropylate; zirconium coupling agents  
such as acetylacetone-zirconium complex and the like.

Regarding additives such as adhesive reagents,  
antifoaming reagents, leveling reagents, flow reagents,  
plasticizers, antioxidants, ultraviolet-absorbers, flame  
35 retardants, pigments, dyes, and paints, any corresponding  
conventional additives may be used together, without any  
limitation, in the active energy curable composition of the

5 present invention, if the additives are compatible with the water containing composition and do not harm the characteristics of the resin including the curing property.

In order to obtain the active energy curable composition of the present invention, the aforementioned components may be  
10 mixed, the mixing order or mixing method are not limited.

It is substantially not necessary to use a solvent in the active energy curable composition of the present invention. However, for diluting the active energy curable composition of the present invention, it may possible to use conventional and  
15 generally known solvents including ketones such as methylethylketone and methylisobutylketone; acetates such as ethyl acetate and butyl acetate; aromatic hydrocarbons such as benzene, toluene, and xylene; and alcohols such as methanol, ethanol, isopropyl alcohol, butanol; and water.

20 The active energy curable composition of the present invention is advantageously applicable for surface finishing, binders, plastic materials, molding materials, laminate plates, adhesives, bonding materials, and ink; coating materials for metals such as aluminum, iron, and copper; coating materials for  
25 plastics such as vinyl chloride, acryls, polycarbonate, polyethyleneterephthalate, and a acrylonitrilbutadienestyrene copolymer, polyethylene, and polypropylene; coating materials for ceramics such as glass; coating materials for other materials such as wood, paper, printing papers, and fibers.

30 The active energy curable composition of the present invention forms a cured film without a photoinitiator under irradiation of light. Since this active energy curable composition of the present invention does not generate odor during curing, and the cured film of this composition does not  
35 incur yellowing and odor, and an amount of elution from this cured film is quite low, the present composition can be advantageously applied to a field of inks such as lithographic ink, flexo-ink, gravure ink, and screen ink, and to fields of

5 gloss varnish, paper coating, wood painting, beverage can  
coating, printing, soft package coating, adhesives for printed  
papers and laminates, label coating, printing ink or adhesives,  
thermosensible paper, printing ink or coating for thermosensible  
10 binders, which are directly contacted with a consumer.

The following examples illustrates specific aspects of the  
present invention and are nor intended to limit the scope  
thereof in any respect and should not be so construed. In the  
examples, all parts are by weight unless otherwise indicated.

15 The relationship of parts by weight to parts by volume is as  
that of kilograms to liters.

In the examples, the energy curable compositions were  
coated on opacity charts (uncoated Leneta N2A, available from  
Leneta Corporation, Mawah, NJ) using a #3 Mayer rod having a  
20 thickness of 7.5 microns. The ultraviolet radiation energy cure  
source was provided using a conveyor type unit with a medium  
pressure mercury lamp of variable light intensities (e.g. 120,  
200, 300 watts per inch (wpi) available from Fusion Aetek,  
Rockville, MD) at conveyor speeds varying from 100 to 200 feet  
25 per minute (fpm). At 200 wpi and 100 fpm the ultraviolet  
exposure dose was 228 mJ/cm<sup>2</sup>, measured using a radiometer (UV  
Power Puck®, Power Puck is Registered Trademark of EIT  
Incorporated, VA). This dose is normally sufficient to produce  
a commercially viable film. The surface hardness of the coating  
30 was empirically measured by scratching the surface with a human  
nail. The reflective gloss of the cured film was measured at  
60° using a glossmeter (Micro-Gloss 60, available from BYK-  
Gardner Incorporated, MD). The solvent resistance of the cured  
film was measured by the surface with a cotton tipped applicator  
35 soaked in methyl ethyl ketone (MEK), isopropyl alcohol or water  
until the substrate was exposed. The number of rubs, i.e. one  
stroke back and forth across a surface, were recorded. A  
coating exhibiting 10 rub MEK resistance, for example, was

5 considered to be commercially feasible.

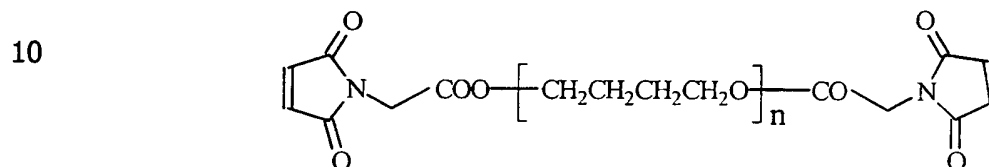
Example 1

Synthesis Example

10 Glycine (37.5 g) and acetic acid (400 ml) were admixed  
then a solution of maleic anhydride (49.0 g) and acetic acid  
(300 ml) was added dropwise over 2 hours under stirring. The  
reaction was continued for 1 hour and the precipitate that  
15 formed was filtered off and recrystallized from a 70% aqueous  
methanol solution. To this product (102 g), triethylamine (40.4  
g), and toluene (500 ml) were added and the mixture was reacted  
for 1 hour while stirring under reflux to remove the evolved  
water. The residue, obtained by removing toluene from the  
reaction mixture, was acidified to a pH of 2 with 0.1 N HCl,  
20 extracted 3 times with ethyl acetate (100 ml) and dried with  
magnesium sulfate. The ethyl acetate was then evaporated under  
reduced pressure and the residue was recrystallized from water,  
whereby pale yellow crystals of maleimidoacetic acid (11 g)  
were obtained. <sup>1</sup>H NMR (300 MHz, DMSO-d<sub>6</sub>): 7.0 ppm (s, 2H, -C=C-);  
25 4.1 ppm (s, 2H, -CH<sub>2</sub>-); IR: 3170 cm<sup>-1</sup> (-COOH); 1750 cm<sup>-1</sup>; 1719  
cm<sup>-1</sup> (C=O); 831 cm<sup>-1</sup>; 696 cm<sup>-1</sup> (-C=C-); Elemental analysis  
(CHN): Calcd. C:46.5%; H:3.87%; N:9.03%; Found C:46.2%;  
H:4.05%; and N:8.70%.

30 Maleimidoacetic acid (6.8 g), polytetramethylene glycol  
(10 g, MW of 250, tradename PolyTHF 250, available from BASF  
Corporation, Japan), p-toluenesulfonic acid (1.2 g), 2, 6-  
tert-butyl-p-cresol (0.06 g), and toluene (15 ml) were added  
together and reacted at 80 °C for 4 hours under reduced pressure  
35 (240 torr). The mixture was stirred and the water formed during  
the reaction was removed. The reaction mixture was then  
dissolved in toluene (200 ml) and washed 3 times with a

5 saturated sodium hydrogen carbonate aqueous solution (100 ml) and a saturated sodium chloride aqueous solution (100 ml). The toluene was then removed under reduced pressure and a maleimide derivative (16 g) having the structure below was obtained.



15

### Example 2

## Synthesis Example

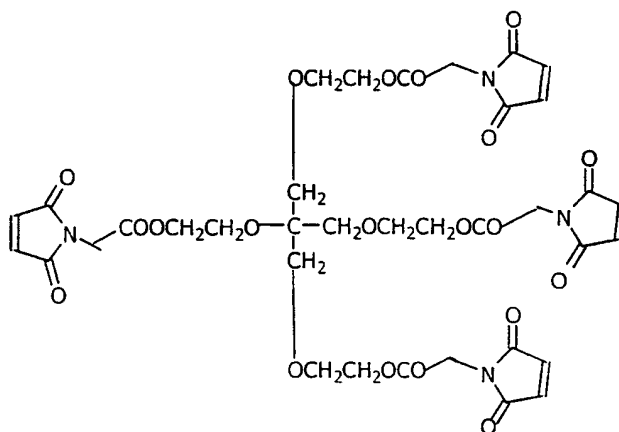
Glycine (37.5 g) and acetic acid (400 ml) were admixed then a solution of maleic anhydride (49.0 g) and acetic acid (300 ml) was added dropwise over 2 hours under stirring. The reaction was continued for 1 hour and the precipitate that formed was filtered off and recrystallized from a 70% aqueous methanol solution. To this product (102 g), triethylamine (40.4 g), and toluene (500 ml) were added and the mixture was reacted for 1 hour while stirring under reflux to remove the evolved water. The residue, obtained by removing toluene from the reaction mixture, was acidified to a pH of 2 with 0.1 N HCl, extracted 3 times with ethyl acetate (100 ml) and dried with magnesium sulfate. The ethyl acetate was then evaporated under reduced pressure and the residue was recrystallized from water, whereby pale yellow crystals of maleimidoacetic acid (11 g) were obtained. <sup>1</sup>H NMR (300 MHz, DMSO-d<sub>6</sub>): 7.0 ppm (s, 2H, -C=C-); 4.1 ppm (s, 2H, -CH<sub>2</sub>-) IR: 3170 cm<sup>-1</sup> (-COOH); 1750 cm<sup>-1</sup>; 1719 cm<sup>-1</sup> (C=O); 831 cm<sup>-1</sup>; 696 cm<sup>-1</sup> (-C=C-); Elemental analysis (CHN): Calcd. C:46.5%; H:3.87%; N:9.03%; Found C:46.2%; H:4.05%; and N:8.70%.

5

Maleimidoacetic acid (6.8 g), pentaerythritol modified by 4 moles of ethylene oxide (4.1 g, tradename PNT-40 Mn:490, Mw:530, available from Nippon Emulsifying Agent Co., Ltd., Japan), p-toluenesulfonic acid (1.2 g), 2, 6-tert-butyl-p-cresol (0.06 g), and toluene (15 ml) were added together and reacted at 80 °C for 4 hours under reduced pressure (240 torr). The mixture was stirred and the water formed during the reaction was removed. The reaction mixture was then dissolved in toluene (200 ml) and washed 3 times with a saturated sodium hydrogen carbonate aqueous solution (100 ml) and a saturated sodium chloride aqueous solution (100 ml). The toluene was then removed under reduced pressure and a maleimide derivative (18 g) having the structure below was obtained.

20

25



30

### Example 3

An aliphatic epoxy acrylate resin (55 wt.%, Laromer 8765, available from BASF, Mt. Olive, NJ) was combined with water (9 wt.%). Next, a maleimide as prepared in Example 1 (36 wt. %, MIA250, available from DaiNippon Ink and Chemical Corporation, Tokyo, Japan) was added. A polyether siloxane additive (0.5 wt. %, Glide 440, available from Tego Chemie, VA) was then added to produce sufficient flow properties. The curing, solvent



5 resistance, gloss and surface hardness properties of the coating  
as described above were then evaluated. The results are shown  
in Table 1.

#### Example 4

10 (Comparative)

The maleimide prepared in Example 1 (36 wt. %, MIA250,  
available from DaiNippon Ink and Chemical Corporation, Tokyo,  
Japan) was to water (15 wt.%). A polyether siloxane additive  
(0.5 wt. %, Glide 440, available from Tego Chemie, VA) was then  
15 added to produce sufficient flow properties. The energy curing  
properties of the coating could not be evaluated because the  
water and maleimide were found to be incompatible and no film  
was produced.

20 Example 5

An aliphatic epoxy acrylate resin (58 wt.%, Laromer 8765,  
available from BASF, Mt. Olive, NJ) was combined with water  
(13.6 wt.%). Next, a photoinitiator, 4-(2-  
25 hydroxylethoxy)phenyl-(2-hydroxy-2-methylpropyl) ketone was  
added (3 wt. %, Irgacure 2959, available from Ciba-Geigy, NY).  
A polysiloxane additive (0.4 wt. %, DC57, available from Dow  
Chemical, Midland, MI) was then added to produce sufficient flow  
properties. Finally, the maleimide prepared in Example 1 (25  
30 wt. %, MIA250, available from DaiNippon Ink and Chemical  
Corporation, Tokyo, Japan) was then added. The curing, solvent  
resistance, gloss and surface hardness properties of the coating  
described above were then evaluated. The results are shown in  
Table 1.

35

#### Example 6

An aliphatic epoxy acrylate resin (50 wt.%, Laromer 8765,

5 available from BASF, Mt. Olive, NJ) was combined with water (17 wt.%). The maleimide prepared in Example 1 (17 wt. %, MIA250, available from DaiNippon Ink and Chemical Corporation, Tokyo, Japan) was then added along with isopropyl alcohol (15.5 wt. %). A polyether siloxane additive (0.5 wt. %, Glide 440, available  
10 from Tego Chemie, VA) was then added to produce sufficient flow properties. The composition was irradiated at three different doses. The curing, solvent resistance, gloss and surface hardness properties of the coating for each dose as described above were then evaluated. The results are shown in Table 1.

15

Example 7

A water dilutable aliphatic urethane acrylic resin (25 wt.%, Ebecryl 2001, available from UCB Radcure, GA) was combined  
20 with water (49.5 wt.%). The maleimide prepared in Example 1 (25 wt. %, MIA250, available from DaiNippon Ink and Chemical Corporation, Tokyo, Japan) was added along with a polyether siloxane additive (0.5 wt. %, Glide 440 available from Tego Chemie, VA) to produce sufficient flow properties. The  
25 composition was irradiated at two different doses. The curing, solvent resistance, gloss and surface hardness properties of the coating described above were then evaluated. The results are shown in Table 1.

30

Example 8

A highly alkoxylated trimethylolpropane triacrylate resin (61 wt.%, SR 9035, available from Sartomer, PA) was combined with water (24 wt.%). The maleimide prepared in Example 1  
35 (14.5 wt. %, MIA250, available from DaiNippon Ink and Chemical Corporation, Tokyo, Japan) was added. A polyether siloxane additive (0.5 wt. %, Glide 440, available from Tego Chemie, VA) was then added to produce sufficient flow properties. The

5 composition was irradiated at two different doses. The curing, solvent resistance, gloss and surface hardness properties of the coating described above were then evaluated. The results are shown in Table 1.

10 Example 9

An aliphatic epoxy acrylate resin (57 wt.%, Laromer 8765, available from BASF, Mt. Olive, NJ) was combined with water (10.5 wt.%). A vinyl ether, hexanedioic acid, bis[4-ethenyloxy)butyl]ester (10.5 wt.%, VEX 4060, available from Allied Signal, NJ) was then added. A maleimide as prepared in Example 1 (21.5 wt. %, MIA250, available from DaiNippon Ink and Chemical Corporation, Tokyo, Japan) was then added along with a polysiloxane additive (0.5 wt. %, DC57, available from Dow Chemical, Midland, MI) to produce sufficient flow properties. . The composition was irradiated at two different doses. The curing, solvent resistance, gloss and surface hardness properties of the coating described above were then evaluated. The results are shown in Table 1.

25

Example 10

(Comparative)

30 A vinyl ether, hexanedioic acid, bis[4-ethenyloxy)butyl]ester (67 wt.%, VEX 4060, available from Allied Signal, NJ) was added to water (22 wt.%). The maleimide prepared in Example 1 (21.5 wt. %, MIA250, available from DaiNippon Ink and Chemical Corporation, Tokyo, Japan) was added along with a  
35 polyether siloxane additive (0.5 wt. %, DC57, available from Dow Chemical, Midland, MI) to produce sufficient flow properties. The energy curing properties of the coating could not be evaluated because the water and maleimide were found to be

5 incompatible and no film was formed.

Example 11

10 An aliphatic epoxy acrylate resin (72 wt.%, Laromer 8765,  
available from BASF, Mt. Olive, NJ) was combined with water (16  
wt.%). The maleimide prepared in Example 2 (11.2 wt. %, MIA-  
PE4EO, available from DaiNippon Ink and Chemical Corporation,  
Tokyo, Japan) was then added. A polyether siloxane additive  
15 (0.8 wt. %, Glide 440, available from Tego Chemie, VA) was then  
added to produce sufficient flow properties. The curing,  
solvent resistance, gloss and surface hardness properties of the  
coating described above were then evaluated. The results are  
shown in Table 1.

20

Example 12

(Comparative)

25 A maleimide prepared in Example 2 (84.5 wt. %, MIA-PE4EO,  
available from DaiNippon Ink and Chemical Corporation, Tokyo,  
Japan) was added to water (15 wt.%). A polyether siloxane  
additive (0.5 wt. %, Glide 440, available from Tego Chemie, VA)  
was then added to produce sufficient flow properties. The  
energy curing properties of the coating could not be evaluated  
because the water and maleimide were found to be incompatible  
30 and no film was produced.

35

5

Table 1

Example	Cure rate (mJ/cm <sup>2</sup> )	Surface Hardness	60° Gloss (%)	Solvent Rubs (MEK)	Solvent Rubs (water)
3	228	Excellent	85-90	65	>200
5	228	Excellent	92	40-44	>200
6	125	Very good	85-88	8	50
6	209	Very good	88-90	12-15	70
6	254	Excellent	88-90	38	>200
7	228	Good	80-82	45	N. A.
7	607	Very Good	80-82	75	N. A.
8	204	Fair	65-70	3	8
8	305	Good	65-70	5	19
9	228	Very Good	86-87	9	31
9	456	Excellent	87-88	31	66
11	228	Fair	86	26	80

The data in Table 1 shows several characteristics of the water compatible energy curable compositions of the present invention. The dose required to cure the composition was similar to that used to cure conventional energy curable materials. The surface hardness and gloss of the cured films were comparable to commercial coatings using photoinitiators. The solvent rubs of the cured compositions were typical of the results that would be achieved with a similar composition containing commercial photoinitiators and resins. This is by exemplified by Example 3 wherein the cure rate does of 228 mJ/cm<sup>2</sup> represents a conveyor speed of 100 fpm and 200 wpi lamp intensity, represent a commercially practical amount of energy delivered to cure the composition. Examples 3 and 7 depict gloss values greater than 80 which are indicative of a high commercial

5 grade gloss. Example 3 depicts solvent rubs of 65 with MEK and  
greater than 200 with water. These values are typically higher  
than those shown for conventional commercial coatings cured  
under similar conditions. Example 6 shows that by doubling the  
curing dose, from 125 to 254 mJ/cm<sup>2</sup>, for the energy curable  
10 compositions of the present invention, one can improve its film  
properties, such as surface hardness, gloss and crosslink  
density as measured by solvent resistance and illustrated by an  
increase in MEK solvent rubs from 8 to 38. Example 9 shows a  
similar increase in solvent rubs, from 9 to 31 MEK rubs and 31  
15 to 66 water rubs. Although a higher cure rate dose was  
required, it was still within the range for commercial curing.

The present invention has been described in detail,  
including the preferred embodiments thereof. However, it will  
20 be appreciated that those skilled in the art may make numerous  
variations or modifications of the embodiments that fall within  
the scope and spirit of the invention as set forth in the  
following claims.

5 What is claimed is:

1. An active water compatible energy curable composition comprising a water compatible compound; a maleimide derivative; and water.

10 2. The energy curable composition of Claim 1 wherein said water compatible compound is selected from the group consisting of acrylate resins; methacrylate resins; acrylic dispersions; urethane resins; vinyl alcohols such as ethylene vinyl alcohol and ethylene vinyl alcohol; vinyl alcohol  
15 copolymers such as ethylene vinyl alcohol copolymer; polysaccharides; polysucrose; and glucose.

3. The energy curable composition of Claim 1 further comprising a compound copolymerizable with the said maleimide derivative and water compatible compound.

20 4. The energy curable composition of Claim 3 wherein said copolymerizable compound comprises at least one compound selected from the group consisting of a compound having at least one group selected from an acryloyloxy group and methacryloyloxy group, and a compound having vinyl ether group.

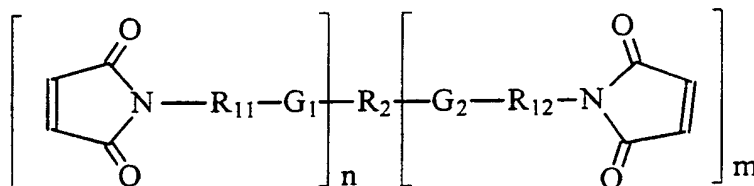
25 5. The energy curable composition of Claim 4 wherein said compound having at least one group selected from an acryloyloxy group and methacryloyloxy group comprises at least one compound selected from (poly)ester (meth)acrylate, urethane (meth)acrylate, epoxy (meth)acrylate, (poly)ether  
30 (meth)acrylate, at least one compound selected from the group consisting of an alkyl (meth) acrylate, an alkylene (meth)acrylate, a (meth)acrylate having aromatic group, and a (meth)acrylate having alicyclic group.

35 6. The energy curable composition of Claim 5 wherein said compound having vinyl ether group comprises at least one compound selected from the group consisting of an alkyl vinyl ether having a terminal group substituted with at least one selected from the group consisting of a hydrogen atom, a halogen

5 atom, a hydroxyl group, and an amino group, a cycloalkyl vinyl  
 ether having a terminal group substituted with at least one  
 selected from the group consisting of a hydrogen atom, a halogen  
 atom, a hydroxyl group, and an amino group, and at least one  
 vinyl ether selected from the group consisting of a monovinyl  
 10 ether, a divinyl ether, and a polyvinyl ether in which a vinyl  
 ether group is connected with alkylene group; and in which a  
 vinyl ether group is connected with at least one group with and  
 without substituent selected from the group consisting of alkyl  
 group, cycloalkyl group, and aromatic group, via at least one  
 15 linkage selected from the group consisting of an ether linkage,  
 an urethane linkage, and an ester linkage.

7. An active water compatible energy curable composition  
 comprising a water compatible compound; water; and a maleimide  
 derivative of the formula:

20



wherein n and m each independently represent an integer of  
 1 to 5, and the total of m and n is 6 or smaller;

25  $\text{R}_{11}$  and  $\text{R}_{12}$  each independently represent a linking group  
 selected from the group consisting of an alkylene group, an  
 alicyclic group, an arylalkylene group, and a cycloalkylalkylene  
 group;

$\text{G}_1$  and  $\text{G}_2$  each represent an ester linkage selected from the  
 group consisting of  $-\text{COO}-$  and  $-\text{OCO}-$ ;

30 and  $\text{R}_2$  represents a linking chain having an average  
 molecular weight of 100 to 100,000 selected from the group  
 consisting of a (poly)ether or (poly)ester linking chain, in  
 which at least one organic group consists of a group or groups



5 selected from a straight or branched chain alkylene group, an alkylene group having a hydroxyl group, an alicyclic group, an aryl group, an arylalkylene group, and a cycloalkylalkylene group connected via at least one linkage selected from the group consisting of an ether or ester linkage.

10 8. The energy curable composition of Claim 7 wherein  $R_2$  is a (poly)ether linking chain having an average molecular weight of 100 to 100,000, and comprised of repeating units containing at least one group selected from a  $C_2$ - $C_{24}$  straight or branched chain alkylene group, a  $C_2$ - $C_{24}$  alkylene group having a  
15 hydroxyl group, and a  $C_6$ - $C_{24}$  aryl group.

9. The energy curable composition of Claim 8 wherein  $R_2$  is comprised of repeating units containing at least one group selected from a  $C_2$ - $C_{24}$  straight or branched chain alkylene group or a  $C_2$ - $C_{24}$  alkylene group having a hydroxyl group.

20 10. The energy curable composition of Claim 7 wherein  $R_2$  is a (poly)ester linking chain having an average molecular weight of 100 to 100,000, and comprised of repeating units containing at least one group selected from a  $C_2$ - $C_{24}$  straight or branched chain alkylene group, a  $C_2$ - $C_{24}$  alkylene group having a  
25 hydroxyl group, and  $C_6$ - $C_{24}$  aryl group.

11. The energy curable composition of Claim 9 wherein  $R_2$  is comprised of repeating units containing at least one group selected from a  $C_2$ - $C_{24}$  straight or branched chain alkylene group or a  $C_2$ - $C_{24}$  alkylene group having a hydroxyl group.

30 12. The energy curable composition of Claim 7 wherein said water compatible compound is selected from the group consisting of acrylate resins; methacrylate resins; acrylic dispersions; urethane resins; vinyl alcohols such as ethylene vinyl alcohol and ethylene vinyl alcohol; vinyl alcohol  
35 copolymers such as ethylene vinyl alcohol copolymer; polysaccharides; polysucrose; and glucose.

13. The energy curable composition of Claim 7 wherein said water compatible compound is a resin selected from the

5 group consisting of acrylate and urethane resins.

14. The energy curable composition of Claim 13 wherein said acrylate resin is aliphatic epoxy acrylate.

15. The energy curable composition of Claim 13 wherein said resin uerthane resin is aliphatic urethane acrylate.

10 16. The energy curable composition of Claim 7 further comprising a compound copolymerizable with the said maleimide derivative and water compatible compound.

17. The energy curable composition of Claim 16 wherein said copolymerizable compound comprises at least one compound  
15 selected from the group consisting of a compound having at least one group selected from an acryloyloxy group and methacryloyloxy group, and a compound having vinyl ether group.

18. The energy curable composition of Claim 17 wherein said compound having at least one group selected from an  
20 acryloyloxy group and methacryloyloxy group comprises at least one compound selected from (poly)ester (meth)acrylate, urethane (meth)acrylate, epoxy (meth)acrylate, (poly)ether (meth)acrylate, at least one compound selected from the group consisting of an alkyl (meth) acrylate, an alkylene  
25 (meth)acrylate, a (meth)acrylate having aromatic group, and a (meth)acrylate having alicyclic group.

19. The energy curable composition of Claim 17 wherein said compound having vinyl ether group comprises at least one compound selected from the group consisting of an alkyl vinyl  
30 ether having a terminal group substituted with at least one selected from the group consisting of a hydrogen atom, a halogen atom, a hydroxyl group, and an amino group, a cycloalkyl vinyl ether having a terminal group substituted with at least one selected from the group consisting of a hydrogen atom, a halogen  
35 atom, a hydroxyl group, and an amino group, and at least one vinyl ether selected from the group consisting of a monovinyl ether, a divinyl ether, and a polyvinyl ether in which a vinyl ether group is connected with alkylene group; and in which a

5 vinyl ether group is connected with at least one group with and without substituent selected from the group consisting of alkyl group, cycloalkyl group, and aromatic group, via at least one linkage selected from the group consisting of an ether linkage, an urethane linkage, and an ester linkage.

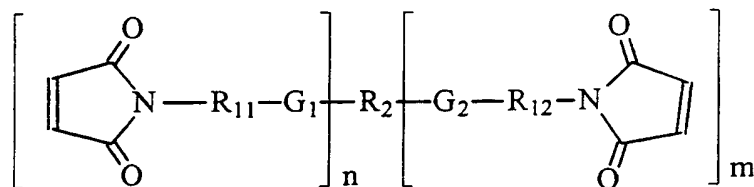
10 20. A printing ink or coating comprising the active water compatible energy curable composition of Claim 1

21. A printing ink or coating comprising the active water compatible energy curable composition of Claim 7.

15 22. A method for curing an active water compatible energy curable composition which comprises: irradiating an active energy curable composition consisting of a water compatible compound, water and a maleimide derivative.

23. The method according to Claim 22 wherein said maleimide derivative is of the formula:

20



wherein n and m each independently represent an integer of 1 to 5, and the total of m and n is 6 or smaller;

R<sub>11</sub> and R<sub>12</sub> each independently represent a linking group selected from the group consisting of an alkylene group, an alicyclic group, an arylalkylene group, and a cycloalkylalkylene group;

25 G<sub>1</sub> and G<sub>2</sub> each represent an ester linkage selected from the group consisting of -COO- and -OCO-;

and R<sub>2</sub> represents a linking chain having an average molecular weight of 100 to 100,000 selected from the group consisting of a (poly)ether or (poly)ester linking chain, in  
30 which at least one organic group selected from straight or

5 branched chain alkylene group, straight or branched chain  
alkylene group having a hydroxyl group, alicyclic group, aryl  
group, arylalkylene group, and a cycloalkylalkylene group  
connected via at least one linkage selected from the group  
consisting of an ether or ester linkage.

10 24. The method according to Claim 23 wherein said water  
compatible compound is selected from the group consisting of  
acrylate resins; methacrylate resins; acrylic dispersions;  
urethane resins; vinyl alcohols such as ethylene vinyl alcohol  
and ethylene vinyl alcohol; vinyl alcohol copolymers such as  
15 ethylene vinyl alcohol copolymer; polysaccharides; polysucrose;  
and glucose.

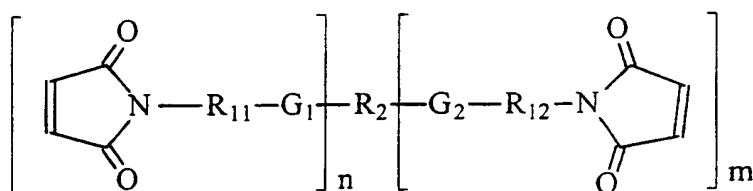
25. The method according to Claim 22 wherein the need to  
dry the energy curable composition prior to irradiation is  
eliminated.

20 26. The method according to Claim 22 wherein the need to  
dry the energy curable composition after irradiation is  
eliminated.

25 27. The method according to Claim 23 wherein R<sub>2</sub> of the  
maleimide derivative is a (poly)ether or (poly)ester linking  
chain, having a molecular weight of greater than 200.

28. The method according to Claim 24 further comprising  
adding a compound copolymerizable with the said maleimide  
derivative and water compatible compound.

30 29. An active energy curable composition comprising a  
maleimide derivative of the formula:



wherein n and m each independently represent an integer of

5 1 to 5, and the total of m and n is 6 or smaller;

$R_{11}$  and  $R_{12}$  each independently represent a linking group selected from the group consisting of an alkylene group, an alicyclic group, an arylalkylene group, and a cycloalkylalkylene group;

10  $G_1$  and  $G_2$  each represent an ester linkage selected from the group consisting of  $-\text{COO}-$  and  $-\text{OCO}-$ ; and

$R_2$  is selected from the group consisting of a (poly)ether or (poly)ester linking chain, in which at least one organic group selected from straight or branched chain alkylene group, 15 straight or branched chain alkylene group having a hydroxyl group, alicyclic group, aryl group, arylalkylene group, and a cycloalkylalkylene group connected via at least one linkage selected from the group consisting of an ether or ester linkage, having a molecular weight of greater than 200.

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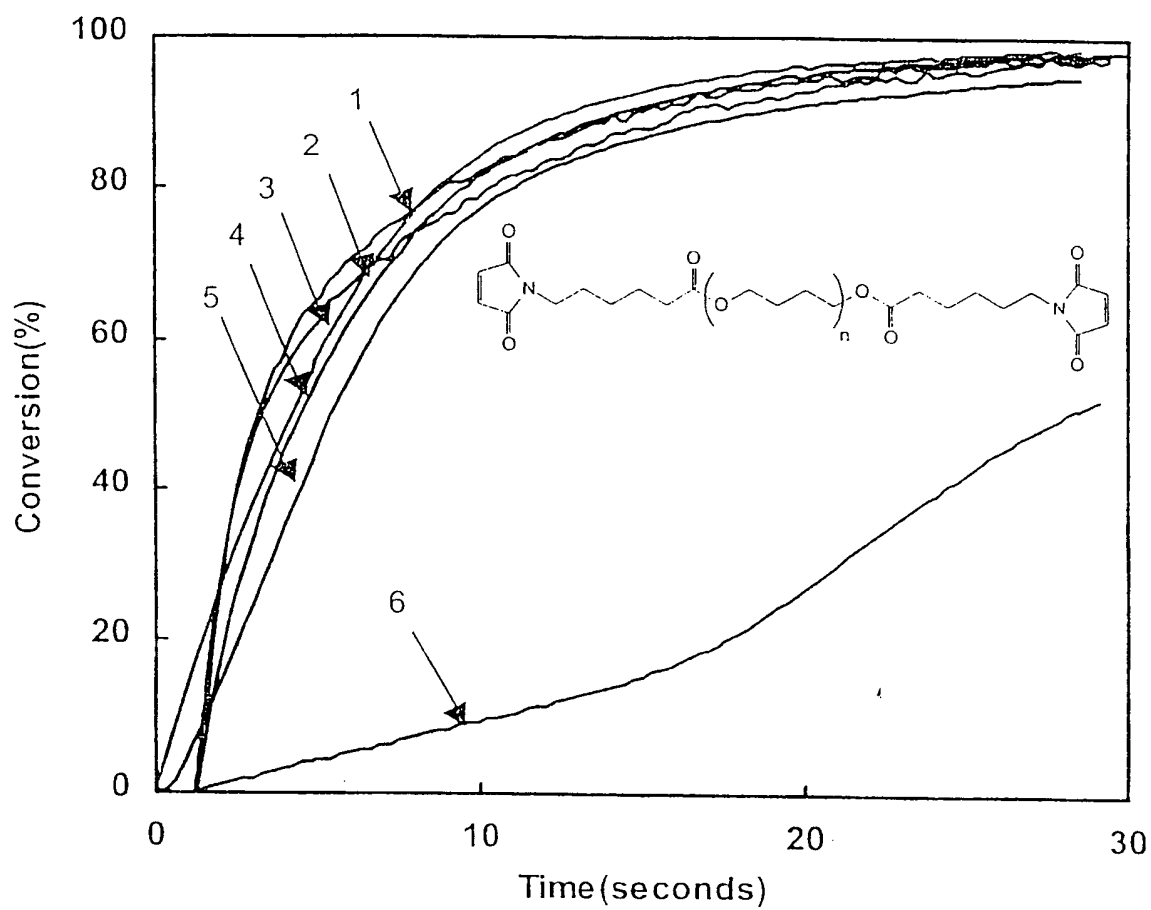


Figure 1

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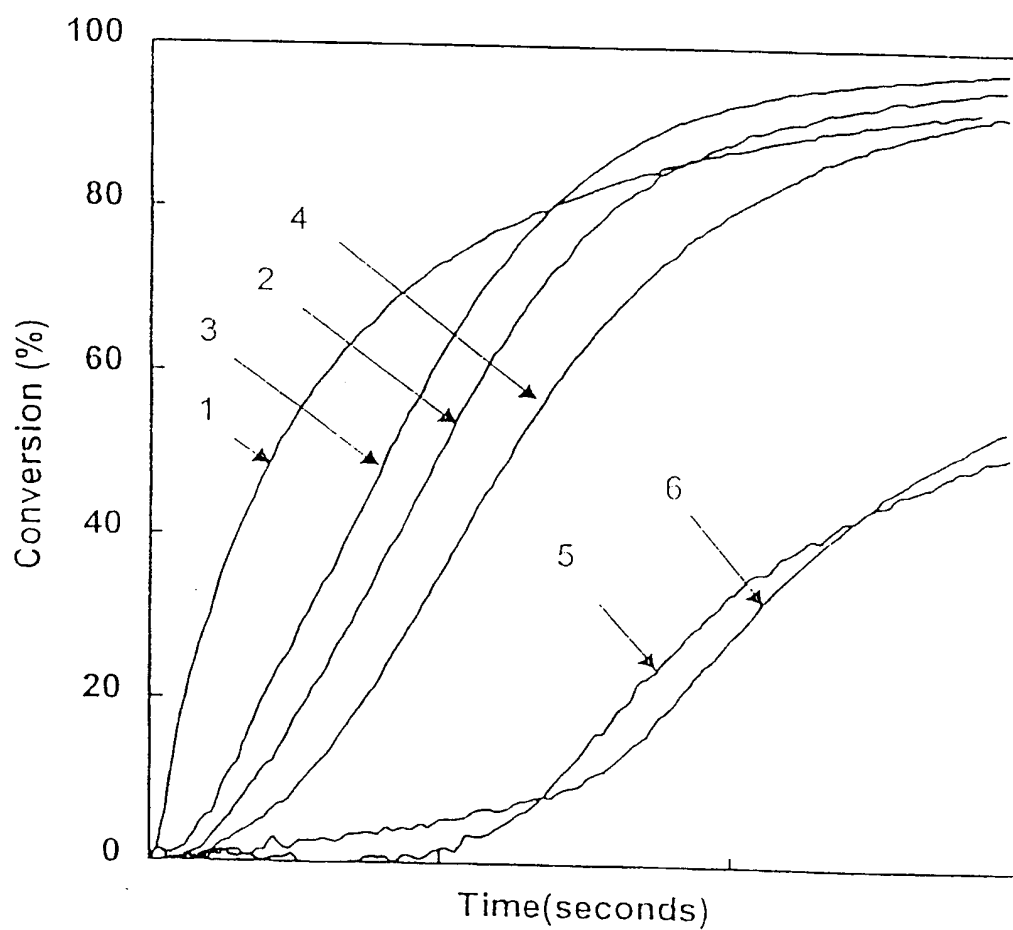
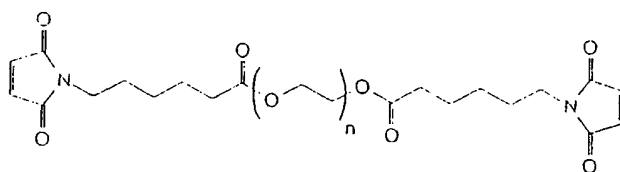


Figure 2

# INTERNATIONAL SEARCH REPORT

National Application No

PCT/US 98/24300

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 C08F2/48 C08F22/40 C08L67/00 C08L71/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C08F C08L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 4 066 523 A (MCGINNISS VINCENT D) 3 January 1978 (1978-01-03) claims	1-3
X	US 5 034 279 A (WILSON JR THOMAS H ET AL) 23 July 1991 (1991-07-23) claims	1
X	WO 98 07759 A (JOENSSON E SONNY ;HOYLE CHARLES E (US); CLARK SHAN CHRISTOPHER (US) 26 February 1998 (1998-02-26) cited in the application the whole document	29
	-/--	

☒ Further documents are listed in the continuation of box C

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

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- "P" document published prior to the international filing date but later than the priority date claimed

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Date of the actual completion of the international search

20 July 1999

Date of mailing of the international search report

28/07/1999

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# INTERNATIONAL SEARCH REPORT

National Application No

PCT/US 98/24300

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	CHARLES E. HOYLE ET AL.: "Photoinitiator free polymerization of maleimides and vinyl ethers" ACS SYMPOSIUM SERIES, vol. 673, 1997, pages 133-149, XP002077177 page 137	7-28
A	--- S. C. CLARK ET AL.: "Photoinitiated polymerization of acrylates using functional maleimides" POLYMER PREPRINTS, vol. 37, no. 2, 1996, pages 348-349, XP002077361 cited in the application page 348	7-28
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Information on patent family members

International Application No

PCT/US 98/24300

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